

**Knee joint kinetics in response to multiple three-dimensional printed, customised foot orthoses for the treatment of medial compartment knee osteoarthritis**

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## 1 Introduction

2 Osteoarthritis (OA) is one of the most prevalent musculoskeletal joint conditions throughout the world. In  
3 the UK alone, it is estimated that more than 4.7 million people over the age of 45 years have sought  
4 treatment from their general practitioner for knee osteoarthritis (1). As a weight-bearing joint the knee is  
5 highly susceptible to OA, with the medial compartment of the knee joint more commonly affected. An  
6 increased incidence of medial compartment knee OA (mKOA) has been attributed to the combination of  
7 greater varus alignment (2) and the higher percentage of overall joint load being transmitted across the  
8 medial compartment compared to the lateral compartment (approximately 70:30) (3).

9 Direct measurement of knee joint load is complex, with most in vivo contact loading studies restricted to  
10 case studies, conducted using instrumented prostheses following knee replacement surgery (4–8). For  
11 non-invasive studies, the knee adduction moment (KAM) is routinely adopted as a surrogate measure  
12 whereby it is used to infer the dynamic load placed on the compartments of the knee (3,9,10). This  
13 external moment is mainly determined by the ground reaction force vector and its lever arm to the centre  
14 of the knee joint. Using this measure, increased KAMs reflect greater medial compartment loading.

15 Foot orthoses (FOs) incorporating lateral wedges are routinely issued for individuals with mKOA. These  
16 are intended to assist in the control and management of the disease by redistributing the total knee joint  
17 load across the joint during weight bearing tasks, alleviating load on the affected medial compartment.  
18 They function by causing an increased valgus moment at the ankle which causes a lateral shift of the  
19 centre of pressure at the foot. This lateral shift causes the lever arm length of the ground reaction force  
20 vector relative to the knee joint origin to reduce, resulting in the theoretical reduction in the KAM.

21 The use of lateral wedged FOs has been shown to reduce the peak KAM by approximately 4-12 % in  
22 KOA cohorts (11,12). However, there is growing evidence that the biomechanical response to orthotic  
23 intervention is heterogeneous and can, in some individuals, in fact elevate the KAM. Indeed it has been

24 estimated that 13–33% of people with mKOA demonstrate a negative response to lateral wedged insoles,  
25 despite beneficial effects reported at group level (12–16).

26 The majority of RCTs that have applied FO interventions for mKOA have generally focused on the  
27 application of a single intervention device and compared it to a control condition, which varied between  
28 studies(14,15,17,18). It should however be considered that the requirements for a beneficial  
29 biomechanical response are likely to be more complex than a straightforward one-size-fits-all  
30 intervention. It may be that different design characteristics will be effective in some individuals and not  
31 others, especially when the heterogeneity of the OA population is taken into consideration.

32 Advances in 3D printing technologies have resulted in the expansion of these techniques into the orthotic  
33 environment (19–22) with numerous companies currently offering 3D printed FOs including Peacocks  
34 Medical Group© and SOLS Systems©. Studies which have adopted the combination of 3D surface  
35 scanning, computer-aided design and computer-aided manufacturing (CAD/CAM) have produced custom  
36 FOs of equivalent quality to traditional methods with improved reproducibility and design standardisation  
37 (23). The application of these methods serves as a useful alternative to standard methods as it allows the  
38 creation of multiple personalised FOs facilitating small scale orthotic production for research. The ability  
39 to optimise orthotic design is specifically relevant in the mKOA population given the high variability  
40 between responses previously reported. The aim of this study therefore was to evaluate the immediate  
41 biomechanical effect, at both group level and individual level when two key design features are altered in  
42 personalised FOs: orthotic length and degree of lateral wedging.

43 **Materials and methods**

44 *Study design*

45 This cross-sectional observational study was conducted in a human performance laboratory at Glasgow  
46 Caledonian University from November of 2013 to February 2015. Participants completed all orthotic  
47 conditions on the same day.

48 *Participants*

49 Approval was obtained from the Institutional Research Ethics Committee at Glasgow Caledonian  
50 University. The procedures followed were in accordance with the ethical standards of the aforementioned  
51 research committee and with the Helsinki Declaration of 1975, revised in 2000. 20 participants were  
52 enrolled in the study, 10 in the mKOA group and 10 in the control group. All participants provided  
53 informed, written consent upon enrolment.

54 A convenient sample of mKOA participants was recruited via email to staff members of departments  
55 within the university and their associated friends and family as well as a MSK rehabilitation research  
56 email address which targets the community. Inclusion criteria for the mKOA group were  $\geq 50$  years of age  
57 and physician-confirmed unilateral or bilateral mKOA. Inclusion criteria for the control group were:  $\geq 50$   
58 years; no history of unilateral/bilateral KOA; and have no chronic/stable knee pain in the past 3 months.  
59 Exclusion criteria for both groups were:  $BMI \geq 36 \text{ kg/m}^2$ ; history of lower limb, hip or spinal surgery  
60 within the past 6 months; any other joint pathology which causes knee pain; received corticosteroid or  
61 other injections to or around the knee in the past 6 months; current or past (within 4 week) use of oral  
62 corticosteroids; any medical condition that may affect walking; current use of wedge insole/custom-made  
63 orthotics; and an aggregate foot posture index score (FPI)  $< -9$  or  $9 > (24)$ . This was assessed by a UK  
64 Health and Care Professions Council registered podiatrist (MA).

65 *FO design and manufacturing*

66 To design the FOs first weight-bearing 3D surface scans of both feet were taken with the foot in a relaxed  
67 standing position using an Easy-Foot-Scan 3D scanner (Baltic Orthoservice UAB, Kaunas, Lithuania. The  
68 application of 3D scanners to measure characteristics of foot shape have previously demonstrated  
69 reduced measurement variability compared to a traditional neutral suspension casting technique,  
70 irrespective of clinical experience (25) . The generated 3-D model was converted into stl format and then  
71 exported into OrthoModel Pro 2013 computer aided design (CAD) Software (Delcam Plc, Birmingham,  
72 UK) to undergo the FO design steps. One CAD user with low level prior experience to CAD software and  
73 of biomechanical background (RA) was responsible for all stages of the design and manufacturing  
74 process. The user however had received formal training in its use prior to study commencement from a  
75 CAD expert (ST), who was in regular user of the OrthoModel software, designing 2 or 3 pairs of FOs per  
76 week over the previous 2/3 years.

77 In the software, all FOs were designed using the “standard orthosis from scan” mode. This mode used the  
78 identification of specific anthropometric measurements of the foot model obtained from the foot scans to  
79 design the FO. These measurements included; forefoot width (mm), rearfoot width (mm), orthotic length  
80 (mm) and medial arch height (mm). Forefoot width was determined by the locations of the centre of the  
81 1st and 5th metatarsal heads, rearfoot width based on the medial and lateral aspect of the heel at its widest  
82 point and the medial longitudinal arch height was determined by selecting the most proximal point of the  
83 arch relative to the plantar surface.

84 The implementation of these dimensions provided the basis for the model which could then be altered as  
85 such based on key design characteristics of degree of wedging and FO length. In the software, degree of  
86 wedging was set at extrinsic, lateral (valgus) resulting in alterations to the wedging of the surface in  
87 contact with the foot. Position of wedging could be applied to the rearfoot, forefoot or a combination of

88 both to give the required design. FO length was adjusted in the software with finer adjustments being  
89 made based on individual foot shape. All FOs had a set default thickness of 3.5mm with a solid heel  
90 component section. This was selected in accordance with routinely applied and generally accepted insole  
91 thickness measurements.

92 In total eight variations to the neutral design FO were produced and manufactured for the most  
93 symptomatic side in the mKOA group, as measured by a VAS scale for pain; or randomly chosen for the  
94 healthy group. Variations under investigation included both a  $\frac{3}{4}$  length and a full length FO with the  
95 following degrees of wedging; 0° 'neutral', 5° rearfoot lateral wedging, 10° rearfoot lateral wedging and  
96 combination of 5° forefoot and 10° rearfoot lateral wedging. The non-test leg received a 0° 'neutral'  
97 posted FO of equivalent length during each test condition to remove any chance of altered gait patterns  
98 from differences in FO length. The FO design process took approximately 1.5-2 hours for all eight  
99 variations per participant. The fabrication time for the eight variations (10 insoles per participant; one set  
100 of neutral full length, one set of three-quarter full length and the six variations of the assigned test leg)  
101 was approximately 70-120 hours, depending on participants shoe size.

### 102 ***Fused Deposition Modelling (FDM)***

103 All FOs were manufactured via a Fused Deposition Modelling (FDM) approach using a desktop 3D  
104 printing system (3d Touch; Bits from Bytes, Clevedon, UK). FDM is a method of additive manufacturing  
105 (AM) first patented and trademarked by Stratasys Inc. which involves building a 3D object layer-by-  
106 layer. Also commonly referred to as plastic jet printing (PJP) and fused filament fabrication (FFF).  
107 recently the method has become open sourced resulting in a more consumer driven application and  
108 increased number of marketed products.

109 The 3D printing system used was a commercially available 3D printer and although its application is not  
110 specific to FO manufacturing, it had previously been used in similar published studies by the research  
111 group, allowing manufacturing to be performed in-house (23,26). FOs were manufactured in a soft  
112 polylactide (PLA) thermoplastic ([www.orbi-tech.de](http://www.orbi-tech.de): density- > 1.35 g/cm<sup>3</sup>, tensile strength- ~ 16 MPa,  
113 strain at yield- ~ 290%, e-modulus- ~ 380 MPa, shore hardness- 92A). This semi-rigid thermoplastic  
114 polymer was selected as the material of choice based on previously published studies by the research  
115 group (23,26).

116 Axon 2 software (Bits from Bytes, Clevedon, UK) was used to prepare the FOs for printing. The software  
117 functions by mathematically slicing the FO into layers and creating the toolpaths for each layer which the  
118 3D printer follows. Build settings used to print the FOs involved; a layer height of 0.25mm, fill density of  
119 52% and a printing temperature of 195°C with the inclusion of a printed raft and support material.

120 The FDM process of the 3D printing system functions by feeding the thermoplastic material at a set feed  
121 rate (16mm/s) through a temperature controlled nozzle head. Once in contact with the heating element  
122 inside the nozzle, the solid thermoplastic filament is heated towards its melting temperature, altering its  
123 structure into a molten, semi-liquid state. The nozzle travels in the X and Y directions and extrudes the  
124 molten thermoplastic material at a set flow rate (20 RPM) according to the toolpath for the layer, creating  
125 a cross sectional 2D layer on the build platform. Once complete, the build platform is lowered by a set  
126 height (0.25mm) and the next layer is printed based on the next cross sectional layer. During this process,  
127 the two layers are bonded together through thermal fusion and then solidify together as the layers cool  
128 down. This process is repeated for each of the toolpath layers until the FO is complete. Once printed,  
129 support structures and rafts were manually removed and each FO was hand finished using sandpaper to  
130 ensure a sufficient surface quality suitable for wear during biomechanical evaluation.

131 ***INSERT FIG 1***

132 *Measurements*

133 Participants were tested in their own footwear to replicate their normal daily wear and comfort levels. A  
134 four segment unilateral model was created for the test leg using a modified version of the Cleveland  
135 Clinic marker set. This included markers placed bilaterally on the anterior and posterior superior iliac  
136 spine and greater trochanters. Additionally, for the test leg, markers were placed on the lateral femoral  
137 condyle, lateral malleolus, and on the shoe itself, over the posterior calcaneus, 1<sup>st</sup> metatarsal head and the  
138 5<sup>th</sup> metatarsal head. To track the thigh and shank segments, shell-mounted clusters of four tracking  
139 markers were placed on the lateral aspects of these segments. During the initial static standing trial  
140 additional markers were placed on the medial femoral condyle and medial malleolus to determine relative  
141 positioning of joint centres and were removed for dynamic trials.

142 For trials, a 14 camera motion capture system (Qualisys AB, Gothenburg, Sweden) operating at a  
143 frequency of 120 Hz was used to capture the retroreflective markers. Simultaneously, a force plate  
144 (9286B; Kistler Winterthur, Switzerland) embedded into the walkway was used to measure the ground  
145 reaction forces at 2400 Hz. These data capturing methods are standard practice in research investigating  
146 the biomechanics of human movement.

147 After an initial FO fitting session and accommodation period of approximately one week, participants  
148 returned to the laboratory for the main evaluation. A static trial was recorded with the participant standing  
149 in the shod condition, and then anatomical markers were removed prior to collection of dynamic trials.  
150 Walking trials were measured for the shod condition followed by eight FO conditions. Testing order was  
151 randomised for each participant to avoid order effects and participants were blinded to the condition  
152 during testing.

153 Participants were given time to acclimatise to each FO until a consistent gait pattern was observed. They  
154 were then asked to walk along the walkway until a total of 7 successful force plate strikes with the test leg



155 were recorded. Walking speed was standardised to within  $\pm 10\%$  of their self-selected walking speed  
156 during the shod test using photoelectric timing gates (Brower timing system, Draper, Utah, USA). A rest  
157 period was included between FO conditions to reduce potential effects of fatigue.

158 Knee joint moments were calculated from inverse dynamic analysis using Visual 3-D software (C-motion  
159 Inc., Germantown, MD). Variables associated with knee joint loading were identified and analysis was  
160 limited to these. These included; peak knee adduction moment during the 1<sup>st</sup> half of stance phase  
161 (1KAM); peak knee adduction moment during the 2<sup>nd</sup> half of stance phase (2KAM); knee flexion moment  
162 during the 1<sup>st</sup> half of stance phase (1KFM); and the knee adduction moment impulse (KAMI) i.e. integral  
163 of the total KAM. Kinetic variables were anatomically referenced to the proximal segment. All variables  
164 of interest were normalised by dividing by body weight multiplied by height and then expressed as a  
165 percentage (For 1KAM, 2KAM and 1KFM this was Nm/ % body weight x height; for KAMI this was  
166  $\text{Nms}^{-1}$ / % body weight x height. This normalisation approach allowed for the effects of height and  
167 bodyweight to be considered-factors which significantly influence joint kinetics (27). The mean of the  
168 final 5 successful walking trials from each test condition with complete marker tracking was used in the  
169 analysis. Marker trajectories and GRF data were low passed filtered with a 4<sup>th</sup> order Butterworth filter at 6  
170 Hz and 25 Hz, respectively.

### 171 ***Statistical Analysis***

172 Statistical analyses were performed with SPSS (Norusis/SPSS, Chicago, IL) using  $\alpha$  level of 0.05. Data  
173 were checked for normality, through Shapiro-Wilks tests, prior to analysis. All kinetic variables used in  
174 the analysis indicated normally distributed results. For analysis, all variables were defined relative to the  
175 shod condition, considered the baseline for the study and evaluated using a 3-factor, repeated-measures  
176 ANOVA to determine the main effect for group, length, wedging type and any interaction effects.

177

178 **Results**

179 Demographic characteristics of study cohorts are presented in table 1. The mKOA group was significantly  
180 older and had a higher BMI than the healthy control group.

181 *INSERT TABLE 1*

182 Mean 1KAM, 2KAM, 1KFM and KAM Impulse values for each condition and group are presented in  
183 table 2.

184 *INSERT TABLE 2*

185 ANOVA results on all test variables are presented in table 3. Variable definitions include main effects of:  
186 orthotic length (three-quarter length/full length); group, (mKOA/control group); and wedging (0°  
187 ‘neutral’, 5° rearfoot wedging; 10° rearfoot wedging and a combination of 5° forefoot 10° rearfoot  
188 wedging). Interaction effects between these variables are also provided.

189 *INSERT TABLE 3*

190 *1KAM*

191 Significant main effects were found for orthotic length in 1KAM ( $p= 0.038$ ). At the group level both FO  
192 lengths provided mean overall reductions in 1KAM compared to shod. This corresponded to a mean  $\pm$  SD  
193 percentage reduction in peak knee adduction moment in the 1st half of stance of  $1.1\% \pm 12.3$  for the  
194 three-quarter length FOs and  $2.8\% \pm 12.4$  for the full lengths FOs.

195 Wedging condition was also found to be statistically significant for 1KAM ( $p<0.001$ ). With the exception  
196 of the neutrally posted FOs ( $2\% \pm 11.3$  increase) 1KAM was reduced for all wedging conditions. This

197 corresponded to a mean  $\pm$  SD percentage reduction in 1KAM of  $2.3\% \pm 9.2$ ,  $4\% \pm 8.3$  and  $3.5\% \pm 8.7$  for  
198 the  $5^\circ$  rearfoot;  $10^\circ$  rearfoot and combined  $5^\circ$  forefoot/  $10^\circ$  rearfoot wedging conditions respectively.

199 No significant interaction effects were found between the length of orthotic or level of wedging.  
200 Furthermore no significant difference existed between the mKOA and healthy group

### 201 **2KAM**

202 Significant main effects were found for orthotic length in 2KAM ( $p= 0.018$ ). At the group level both FO  
203 lengths provided a mean overall increase in 2KAM compared to the shod condition. This increase  
204 corresponded to a mean  $\pm$  SD percentage increase in 2KAM of  $6.5\% \pm 16.9$  for the three-quarter length  
205 FOs and  $4.1\% \pm 19.1$  for full length FOs.

206 An interaction effect was also found between FO length and group ( $p= 0.028$ ). For the mKOA group  
207 these differences corresponded to mean  $\pm$  SD percentage changes of  $2.9\% \pm 16.9$  and  $2.7\% \pm 19.1$  for the  
208 three-quarter length and full length FOs respectively. The healthy group showed greater increases in  
209 2KAM corresponding to mean  $\pm$  SD percentage changes of  $10.2\% \pm 16.9$  and  $5.5\% \pm 19.1$  for the three-  
210 quarter length and full length FOs respectively.

211 Significant main effects were found for wedging for 2KAM ( $p< 0.0001$ ). Irrespective of the orthotic  
212 length, FOs had a somewhat negative effect on 2KAM, corresponding to mean  $\pm$  SD percentage  
213 increases in peak 2KAM of  $9.5\% \pm 12.9$ ,  $5.8\% \pm 12.5$  and  $6.1\% \pm 15.6$  for the neutral,  $5^\circ$  rearfoot;  $10^\circ$   
214 rearfoot wedging conditions respectively. No significant differences were found between OA and the  
215 healthy groups. Furthermore, significant differences existed between the combined  $5^\circ$  forefoot and  $10^\circ$   
216 rearfoot FO, considered the most biomechanically aggressive FO and all other wedging conditions. For  
217 this condition when compared to other wedging conditions there was a reduction of  $9.6\%$ ,  $5.9\%$  and  $6.6\%$

218 when compared to the neutral, 5° rearfoot; 10° rearfoot wedging conditions respectively. However  
219 compared to the shod condition it only produced a minimal 2KAM reduction of 0.1% (13.4).

220 Other significant interaction effects were found between orthotic length and wedging condition for  
221 2KAM (p=0.002). For the three-quarter length FOs alterations to wedging corresponded to mean ± SD  
222 percentage changes of 9.1% ± 10.1, 6.6 % ± 9.5, 5.9% ± 10.6 and 4.5 % ± 9.6 for the neutral, 5° rearfoot,  
223 10° rearfoot and combined 5° forefoot/ 10° rearfoot wedging conditions respectively. Full length FOs  
224 demonstrated a similar dose response with the exception of combined 5° forefoot/ 10° rearfoot wedging  
225 condition, corresponding to mean ± SD percentage changes of 9.9% ± 9.2, 5% ± 10.3, 6.3% ± 12.6 and -  
226 4.8% ± 12.3 for the neutral, 5° rearfoot, 10° rearfoot and combined 5° forefoot/ 10° rearfoot wedging  
227 conditions respectively. No significant differences were found between mKOA and the healthy group in  
228 relation to 2KAM.

### 229 ***1KFM***

230 For 1KFM, no significant main effects or any interaction effects were found. Although no statistically  
231 significant findings were evident (p=0.109) between the groups the mKOA group demonstrated a mean  
232 (±SD) 1KFM increase of 11.4% ± 26.6 compared to the healthy group, 4.3% ± 26.6.

### 233 ***KAM Impulse***

234 Significant main effects were found for orthotic length in KAM Impulse (p=0.022). Irrespective of the  
235 group, the FO length provided different responses in terms of the KAM Impulse compared to the shod  
236 condition. This corresponded to a mean ± SD KAMI percentage change of 2.1% ± 16.8 for three-quarter  
237 length FOs and 0.4% ± 16.1 for full length FOs.

238 Significant interaction effects were found between orthotic length and group ( $p=0.036$ ). For the mKOA  
239 group this corresponded to a mean  $\pm$  SD KAMI percentage change of  $-0.5\% \pm 16.3$  and  $-0.7\% \pm 16.1$  for  
240 the  $\frac{3}{4}$  length and full length FOs respectively compared to the healthy group who demonstrated an  
241 increase in KAMI of  $4.6\% \pm 16.3$  and  $1.5\% \pm 16.1$  for the  $\frac{3}{4}$  length and full length FOs respectively.

242 Significant main effects were found for wedging for KAM Impulse ( $p<0.0001$ ). Irrespective of group the  
243 effect of wedging condition corresponded to mean  $\pm$  SD percentage changes of  $5.9\% \pm 11$ ,  $0.9\% \pm 11$ ,  $0.9\%$   
244  $\pm 9$  and  $-2.6\% \pm 10$  for the neutral,  $5^\circ$  rearfoot;  $10^\circ$  rearfoot and combined  $5^\circ$  forefoot/  $10^\circ$  rearfoot posted  
245 conditions respectively.

246 Although borderline the interaction between wedging and orthotic length was not significant ( $p=0.055$ ).  
247 For the  $\frac{3}{4}$  length FOs alterations to wedging corresponded to mean  $\pm$  SD percentage changes of  $3\% \pm 13$ ,  
248  $1\% \pm 9$  and  $0\% \pm 9$  for the  $5^\circ$  rearfoot;  $10^\circ$  rearfoot and combined  $5^\circ$  forefoot/  $10^\circ$  rearfoot wedging  
249 conditions respectively. The full length FO demonstrated a slightly different pattern corresponding to  
250 mean  $\pm$  SD percentage changes of  $2\% \pm 9$ ,  $10\% \pm 2$  and  $-6\% \pm 10$  for the  $5^\circ$  rearfoot;  $10^\circ$  rearfoot and  
251 combined  $5^\circ$  forefoot/  $10^\circ$  rearfoot wedging conditions respectively.

## 252 *Individual Response*

253 The variable magnitude of response to orthotic changes across the biomechanical outcome measures is  
254 evident when the high standard deviations and confidence intervals are considered. The variability in  
255 biomechanical response was present in both groups.

256 *INSERT FIGURE 2*

257 *INSERT FIGURE 3*

258 *INSERT FIGURE 4*

259 *INSERT FIGURE 5*

260 Negative and positive responses to FOs were assessed in relation whether the percentage  
261 increase/decrease was greater/less than the reported standard error of the mean (SEM) for each variable.  
262 For 1KAM, 22/80 (27.5%) of the assessments, incorporating the various wedging conditions for the  
263 mKOA group, resulted in a negative biomechanical response over the SEM. The healthy group had an  
264 incidence of 22/80 (27.5%) negative responses. A positive 1KAM response above SEM was found in  
265 44/80 (55%) for both mKOA and healthy groups. For the 2KAM, 41/80 (51.3%) of assessments for the  
266 mKOA group caused an inverse biomechanical response over the SEM. This compared to 58/80 (72.3%)  
267 negative responses for healthy group. A positive 2KAM response above SEM was found in 25/80  
268 (31.3%) and 15/80 (18.8%) for mKOA and healthy groups respectively. For KAMI, 29/80 (36.3%) of the  
269 assessments for the mKOA group demonstrated an inverse biomechanical effect over the SEM. This  
270 compared to 42/80 (52.3%) for the healthy group. In relation to a positive KAMI response, a reduction  
271 below the SEM was found in 34/80 (42.5%) and 25/80 (31.3%) for mKOA and healthy groups  
272 respectively. For 1KFM, overall 50/80 (62.5%) of the assessments demonstrated an increase in 1KFM in  
273 response for the mKOA group over the SEM. The healthy group demonstrated increased 1KFM in 43/80  
274 (53.8%). Whereas a reduction in 1KFM was reported in 13/80 (16.3%) and 20/80 (25%) for mKOA and  
275 healthy groups respectively

276

## 277 **Discussion**

278 The aim of this study was to investigate alterations in knee joint kinetics as a result of modifications in  
279 design features of personalised FOs. Our findings suggest that when two key design features are altered  
280 there is a significant heterogeneous mechanical response observed at the knee joint in both the OA and  
281 healthy groups during walking. These results enhance our knowledge and understanding as to the  
282 potential benefits of personalising FO interventions in order to provide a more positive immediate  
283 biomechanical effect even when a heterogeneous biomechanical response exists between conditions for  
284 individuals.

285 At the group level, the full length FOs caused a significant reduction in 1KAM compared to the  $\frac{3}{4}$  length  
286 FOs indicating a better response to full length FOs by both study groups. These findings are similar to  
287 those previously reported that wedging applied to the entire lateral border is more effective at reducing  
288 1KAM than just at the heel (15).

289 Although significant effects of wedging were found for 2KAM, the majority of conditions resulted in  
290 elevated values, indicative of greater medial compartment loading. Overall the reduction in 1KAM did  
291 not correspond to a reduction in 2KAM for most conditions. However there was a reduction in 2KAM  
292 for the  $\frac{3}{4}$  length and the full length FOs which incorporated 5° forefoot/ 10° rearfoot wedging. For these  
293 conditions mean 2KAM reduced by 0.5% and 4.7% respectively relative to shod in the mKOA and  
294 healthy group respectively. These reductions in 2KAM are similar to previously reported results(15,28).  
295 During the majority of the 2<sup>nd</sup> period of stance the forefoot is the only component in contact with the  
296 ground. Biomechanically, it is hypothesised that wedging the entire forefoot section will further increase  
297 the rearfoot eversion moment, through an increase in its lever arm length across a longer period of the  
298 stance phase. Significant correlations have been reported between increased rearfoot eversion moments  
299 and reduced KAM moments (29). As such, increasing the overall period at which the FO is ‘

300 biomechanically effective' for therefore makes sense and has been reported to be a key design feature to  
301 reduce KAM variables(15).

302 No significant main or interaction effects were found for 1KFM however it was evident that the lateral  
303 wedged orthotics provided a concomitant elevation of 1KFM across all FO conditions compared to the  
304 shod. The fact that no significant effects were found between FO conditions suggests that these increases  
305 may be attributable to the orthotic design itself. Walter and colleagues (6) suggested that a corresponding  
306 increase in KFM may attenuate any load reducing benefit of KAM reductions. In this study, our FOs  
307 caused a general elevation in 1KFM of 11.4% in the mKOA group, compared to 4.3% the healthy group  
308 (overall in ~77.5% of all participant responses) therefore it remains unclear as to whether a reduction in  
309 medial contact force would have occurred even with the reported reductions in 1KAM and KAMI  
310 variables.

311 KAM impulse has been suggested as a more suitable measure to infer the loading of the medial  
312 compartment (30,31) as it takes into consideration both the amplitude of the moment and the total period  
313 of stance. For the mKOA group, KAMI was reduced by all FOs which incorporated lateral wedging. The  
314 full length FO which incorporated 5° forefoot/ 10° rearfoot wedging was found to be the most  
315 biomechanically effective FO. For this condition mean KAMI reduced by 4.9% relative to shod. Given  
316 the slightly longer walking time, it could be argued that the elevated 2KAM values reported could have  
317 translated into the KAMI however rearfoot wedged conditions, which reported increased 2KAM,  
318 demonstrated reductions in KAMI indicating that overall loading still reduced.

319 The reductions in KAMI in response to lateral wedged FOs are in line with those previously reported in  
320 the literature (32,33). These findings reinforce the suggestion that FOs incorporating increased wedging  
321 across the full length of the lateral side will result in a greater reduction in cumulative load on the knee  
322 joint throughout the stance phase. However, these findings were found at the group level which



323 demonstrated a high level of variability. It is important to note that other aspects such as individual  
324 response to pain and tolerance to FO condition have to be taken into consideration when the severity of  
325 lateral wedging is targeted.

326 In the present study, the variability between subjects in the knee joint loading characteristics of 1KAM,  
327 2KAM and KAMI in response to the FO conditions appears greater to that reported in previous research.  
328 Hinman et al (32) reported a negative response in 23% of participants with a 5° lateral wedge. In the  
329 mKOA group alone, across the variables linked to medial compartment loading a negative response was  
330 evident and to a greater extent when FO conditions were grouped together, corresponding to an incidence  
331 of 27.5%, 51.3% and 36.3% negative responses over the SEM for 1KAM, 2KAM and KAMI  
332 respectively. Furthermore, for the 5° lateral forefoot/10° lateral rearfoot wedged FO, considered the most  
333 biomechanically aggressive for reducing medial tibiofemoral compartment loading, participants in both  
334 the mKOA group (2/10) and healthy group (3/10) experienced an increase in 1KAM compared to shod  
335 that was above the conditions SEM. One possible explanation to this variability is that the immediate  
336 assessment of the multiple variations in FO design may have exaggerated these negative effects compared  
337 to other studies which have tended to examine only one FO variation.

338 The capabilities of AM and FO design methods and their potential application in the orthotic sector have  
339 received increased awareness in recent years. The FO design and manufacturing methods adopted in this  
340 study offer a fast and effective alternative to the traditional orthotic manufacturing methods used in  
341 standard care. For our study, the average time between participant's fitting sessions to final assessment  
342 was approximately 25 days. Manufacturing time of the 8 remaining insoles only took approximately 56-  
343 96 hours print time (2 per day, approximately 4-5 days). Furthermore, the period between initial scan and  
344 printing of the neutral FOs for the acclimatisation session was as short as 2 days, but was dependent on  
345 total manufacturing volume. Traditional methods including plaster casting on the other hand often involve

346 more cumbersome processes which require additional time, particularly during the manufacturing stage  
347 (34). Future difficulties lie in the practical feasibility of integrating 3D design and manufacturing  
348 technologies into the clinical environment in a way which will optimise patient care, drive down overhead  
349 costs for health organisations and reduce the turnaround period between initial assessment and FO issue.  
350 Furthermore the feasibility of a truly personalised device for each individual using this approach still  
351 requires further assessment. The creation of a more streamlined approach to the process is required in  
352 order to effectively tailor the personalised intervention to the point that it can be confidently predicted  
353 that the intervention will provide a beneficial effect.

#### 354 **Limitations & Conclusion**

355 There are a number of limitations which warrant further discussion. Firstly, participants were instructed to  
356 wear their own current trainer rather than a standardised shoe during testing. This may have contributed to  
357 the variability in biomechanical response, based on the differences in mechanical characteristics of the  
358 footwear, which could influence individual gait patterns. However, this approach was taken to reflect  
359 what participants would wear on a daily basis and was assumed not to disrupt their normal biomechanical  
360 gait pattern based on their routine use. Furthermore, as results are expressed relative to a shod test  
361 condition, this confounding factor is theoretically minimised in the results. We believe the methods  
362 applied in this study provide valid results whilst maintaining a pragmatic approach to an intervention  
363 study.

364 The cross sectional nature of the study focused on the immediate biomechanical response, with testing of  
365 each FO performed in a random order with little acclimatization period. It is unclear whether each of the  
366 conditions evaluated would have had a different effect if they were worn over an extended period of time.  
367 Turpin and colleagues (35) reported that an extended period of wear would allow suitable acclimatization  
368 to an FO design to occur. However, the immediate biomechanical effect can provide a valuable indicator

369 for longer term clinical outcomes. Hinman et al., (36) demonstrated a significant correlation between  
370 immediate 1<sup>st</sup> peak KAM reductions with lateral wedged FOs and improvements in WOMAC function  
371 score at 3 month follow up, whereby individuals who demonstrated a greater reduction in the adduction  
372 moment reported less physical disability

373 The OA population is known to be heterogeneous in nature. These findings of variability in response to  
374 orthotic intervention in people with medial compartment knee OA further support the requirement to  
375 develop a better understanding of responders/non-responders, perhaps based on other biomechanical and  
376 physical characteristics such as altered ankle joint motions (13).

377 To our knowledge, this study is the first to examine the immediate biomechanical response to multiple  
378 designs of personalised FOs in an mKOA group. These findings suggest that a blanket approach to  
379 orthotic prescription may not be an effective treatment plan irrespective of the perceived benefit at the  
380 group level. This may go some way to explain the negative findings from recent RCTs of this type of  
381 intervention and is a crucial consideration for the KOA population where orthotic prescription is routine  
382 practice. In theory under these methods there could be individuals with knee OA who are being  
383 prescribed FOs which cause increased KAMs possibly exacerbating their OA progression. A greater  
384 understanding is required as to which individuals respond to an orthotic intervention i.e. responder  
385 characteristics, as well as improving the ability to optimise the biomechanical response for each of these  
386 individuals. Difficulties lie in how we identify these “responsive” individuals early so that interventions  
387 can be implemented for long term benefit. Chapman and colleagues (13) reported that the biomechanics  
388 of the ankle/ subtalar joint complex plays an central role in KAM reduction and could perhaps predict  
389 those who are more likely to have a positive response. Furthermore Paterson and colleagues (37) recently  
390 reported a strong relationship between foot and knee pain in people with KOA, resulting in adverse  
391 effects on health outcomes and functionality. Future research requires the integration of in depth

392 biomechanical analyses as well as additional clinical and risk factor assessments to identify and perhaps  
393 predict FO responders. Increased involvement of the individual into this process may also be an important  
394 consideration.

#### 395 **Author contributions**

396 RA: collection and analysis of gait data, statistical analysis, and preparation of manuscript. JW: study  
397 design and preparation of manuscript. ST: study design and preparation of manuscript. MA: study design,  
398 data collection, and preparation of manuscript. MS: study design, preparation of manuscript and  
399 interpretation of results.

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#### 403 **Conflict of interest**

404 The authors declare that they have no conflict of interest relating to the material presented in this article.

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521

522 **Table 1 Participant Demographics**

	mKOA Group (n=10)		Healthy Group (n=10)	
<b><i>Subject characteristics</i></b>	<b><i>Mean (SD)</i></b>		<b><i>Mean (SD)</i></b>	
Gender (F:M)	7:3		7:3	
Age (years)	63.3(8.0) ‡		55.3 ( 4.0) ‡	
BMI (kg/m <sup>2</sup> )	27.1 (2.8) ‡		23.6 (2.3) ‡	
Foot Posture Index, TL/NTL*	2.6 (2.7)	2.2 (2.7)	2.4 (1.6)	2.7 (1.7)
Predicted Radiographic Alignment, TL/NTL*	1.36 (2.2)	2.3 (3.1)	1.4 (1.9)	0.7 (1.9)
Walking Speed (ms <sup>-1</sup> )	4.7 (0.6)		4.2 (0.9)	

\*Test Leg (TL) and Non Test Leg (NTL)

‡ Significant differences between groups (p ≤0.05)

523 **Table 2 Percentage changes in biomechanical variables relative to the shod test condition (control).**

524

Parameter	3/4 length, 0° 'neutral'				3/4 length, 5° RF lateral wedging				¾ length, 10° RF lateral wedging				¾ length, 5° FF / 10° RF lateral wedging			
	mKOA		Control		mKOA		Control		mKOA		Control		mKOA		Control	
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI	mean ±SD	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI
1KAM	0.1 (8.8)	-5.8,5.9	3.6 (8.9)	-2.2, 9.5	-1.7 (8.1)	-6.4, 3	-1.8 (5.8)	-6.5, 2.9	-4 (8.1)	-8.7, 0.7	-1.8 (5.7)	-6.4, 2.9	-2.6 (6.4)	-6.4, 1.3	-0.8 (5.2)	-4.6, 3.1
2KAM	6.9 (10.3)	2, 13.6	11.3 (9.9)	4.6, 18	1.5 (10.1)	-4.8, 7.8	11.7 (8.8)	5.4, 18	3.7 (11.1)	-3.4, 10.7	8.2 (10.1)	1.2, 15.3	-0.5 (5.1)	-7, 6	9.4 (12.8)	2.9, 15.9
1KFM	7.9 (9)	8, 14.9	4.5 (12)	-2.5, 11.6	10.9 (21.6)	2, 21.6	1.7 (7.5)	-9, 12.4	17.3 (12.4)	9.7, 24.9	5.2 (10.5)	-2.4, 12.9	12.8 (15.5)	3.4, 22.3	6.2 (12.8)	-3.2, 15.7
KAMI	4.2 (9.4)	-1.7, 10.2	6.8 (8.5)	0.8, 12.7	-2 (10.9)	-8.9, 5	4.2 (9.9)	-2.7, 11.2	-1.4 (7.9)	-7.2, 4.3	4 (9.4)	-1.8, 9.7	-2.9 (5.8)	-8.3, 2.5	3.6 (9.9)	-1.8, 9

Parameter	Full length, 0° 'neutral'				Full length , 5° RF lateral wedging				Full length 10° RF lateral wedging				Full length , 5° FF / 10° RF lateral wedging			
	mKOA		Control		mKOA		Control		mKOA		Control		mKOA		Control	
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI	mean (SD)	95% CI	Mean (SD)	95% CI	Mean (SD)	95% CI
1KAM	-0.4 (5.9)	-5.8, 5	4.5 (9.8)	-0.8, 9.9	-3.6 (10)	-9.2, 2.1	-2 (6.7)	-7.6, 3.7	-6.8 (6.3)	-10.8, 5	-3.4 (5.6)	-7.4, 0.6	-5.4 (8.2)	-10.5, -2.3	-5.4 (7.2)	-10.6, -0.3
2KAM	8.6 (7.1)	2.6, 14.7	11.1 (10.8)	5, 17.2	3.2 (10.3)	-3.7, 10	6.8 (10.3)	0, 13.6	3.6 (11.4)	-4.7, 12	8.9 (13.7)	0.5, 17.3	-4.7 (10.2)	-12.9, 3.5	-4.8 (14.1)	-13, 3.4
1KFM	10.1 (11)	2.8, 17.4	1.5 (10.9)	-5.8, 8.8	12.9 (19.7)	1.2, 24.7	5.5 (15.4)	-6.3, 17.2	8.6 (15.2)	-0.1, 17.2	6.3 (10.3)	-2.3, 14.9	10.7 (20.6)	0.1, 21.4	3.1 (9.4)	-7.5, 13.8
KAMI	5.3 (7.5)	-0.5, 11.2	7.3 (9.9)	1.5, 13.2	-1.2 (8.7)	-7.1, 4.8	2.3 (9.2)	-3.6, 8.3	-2 (7.5)	-8.4, 1.5	2.4 (11.4)	-4, 8.8	-4.9 (7.6)	-11.4, 1.5	-4 (11.5)	-12.5, 0.4

525 **Table 3 Results of tests of within-subject effects from a two way mixed effects ANOVA (Significant values**  
 526 **are highlighted in bold)**

Parameter	Effect	F	p-value
1st Peak Knee Adduction Moment	<b>Length</b>	<b>4.986</b>	<b>0.038</b>
	Length x Group	0.161	0.693
	<b>Wedging</b>	<b>11.564</b>	<b>&lt;0.0001</b>
	Wedging x Group	1.094	0.360
	Length x Wedging	1.391	0.255
	Length x Wedging x Group	0.318	0.812
2nd Peak Knee Adduction Moment	<b>Length</b>	<b>6.820</b>	<b>.018</b>
	<b>Length x Group</b>	<b>5.693</b>	<b>.028</b>
	<b>Wedging</b>	<b>14.865</b>	<b>&lt;0.0001</b>
	Wedging x Group	0.467	0.706
	<b>Length x Wedging</b>	<b>5.466</b>	<b>0.002</b>
	Length x Wedging x Group	1.440	0.241
1st Peak Knee Flexion Moment	Length	0.571	0.460
	Length x Group	0.261	.616
	Wedging	0.800	.499
	Wedging x Group	0.097	0.961
	Length x Wedging	0.519	0.671
	Length x Wedging x Group	0.601	0.617
Knee Adduction Moment Impulse	<b>Length</b>	<b>6.280</b>	<b>0.022</b>
	<b>Length x Group</b>	<b>5.114</b>	<b>0.036</b>
	<b>Wedging</b>	<b>19.709</b>	<b>&lt;0.0001</b>
	Wedging x Group	0.764	0.519
	<b>Length x Wedging</b>	<b>3.237</b>	<b>0.029</b>
	Length x Wedging x Group	1.008	0.396

527 **Figure Legends**

528 Figure 1. Picture of the 3D printing system used in the FDM process (3d Touch; Bits from  
529 Bytes, Clevedon, UK)

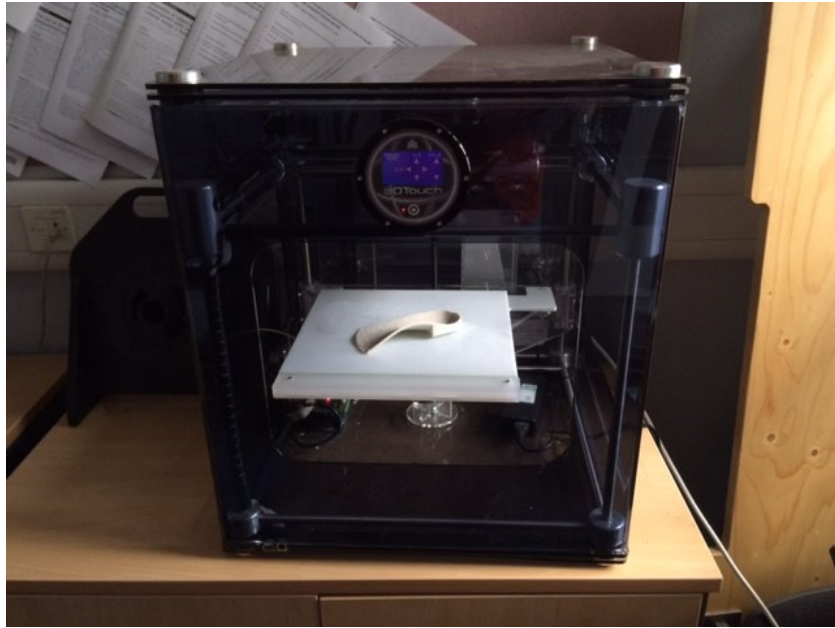
530 Figure 2. Group and individual changes in the first peak knee adduction moment (1KAM)  
531 for each FO condition, reported as the percentage change relative to shod condition.

532 Figure 3. Group and individual changes in the second peak knee adduction moment (2KAM)  
533 for each FO condition, reported as the percentage change relative to shod condition.

534 Figure 4. Group and individual changes in the first peak knee flexion moment (1KFM) for  
535 each FO condition, reported as the percentage change relative to shod condition.

536 Figure 5. Group and individual changes in the knee adduction moment impulse (KAMI) for  
537 each FO condition, reported as the percentage change relative to shod condition.

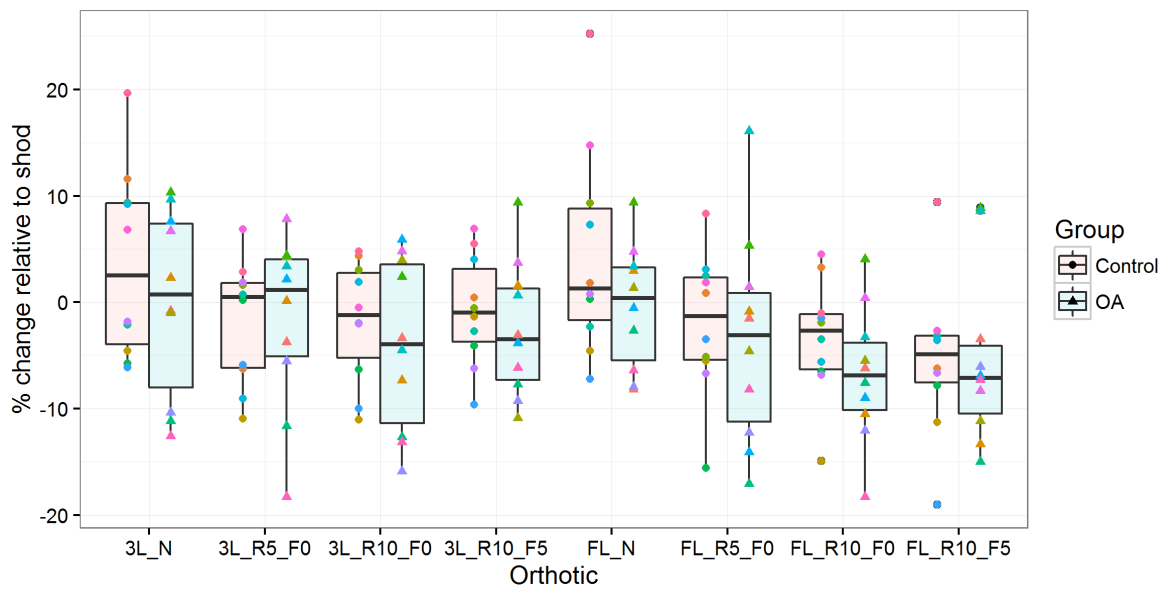
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Figure 1

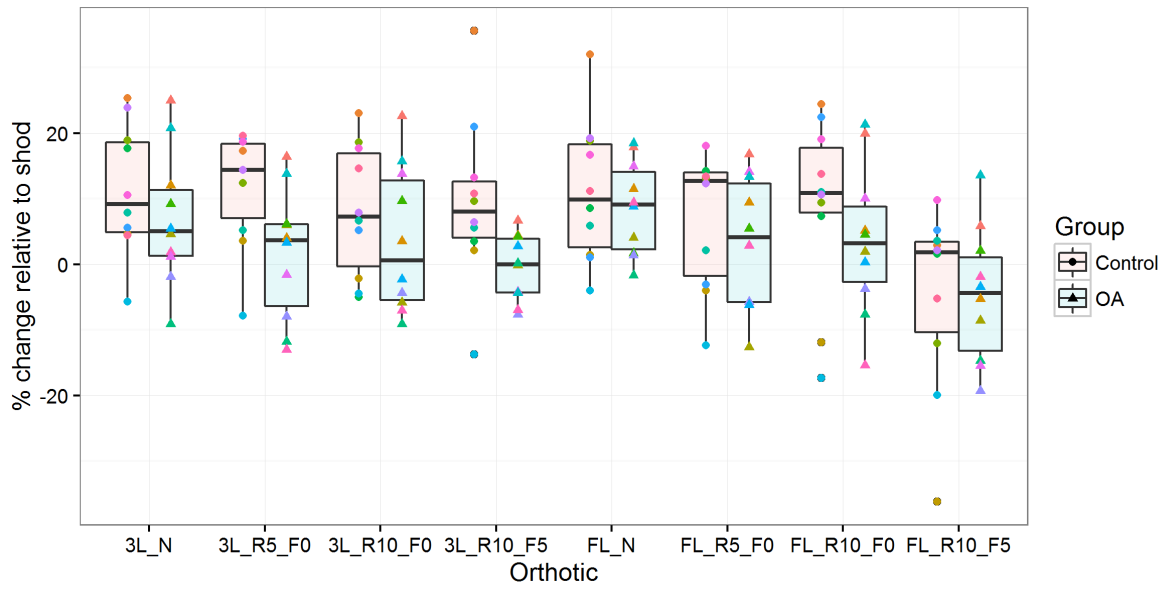


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Figure 2

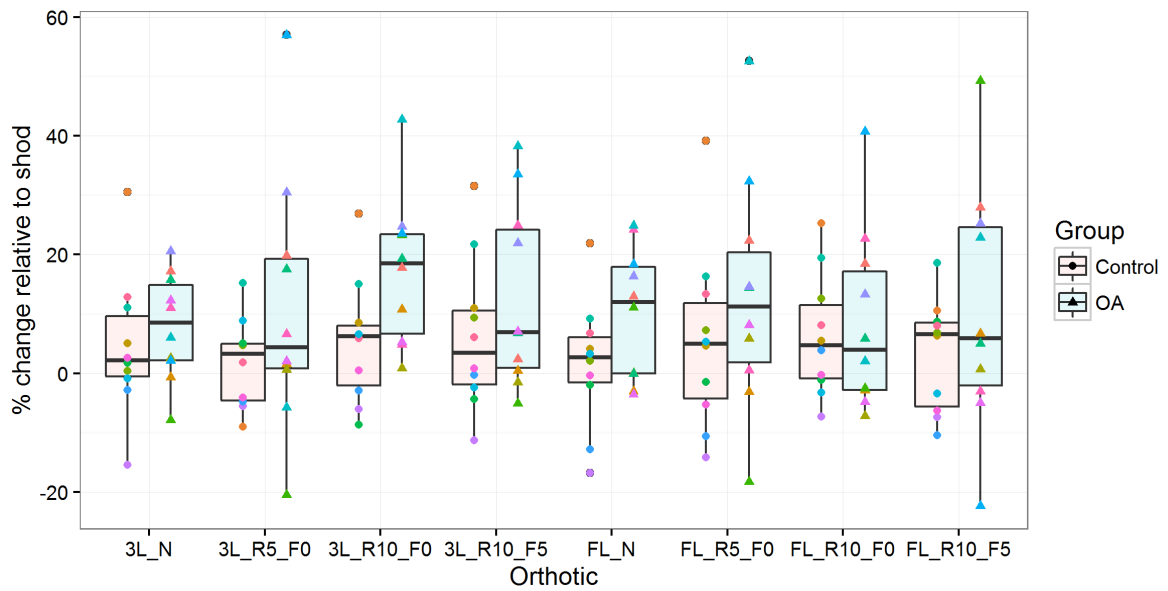




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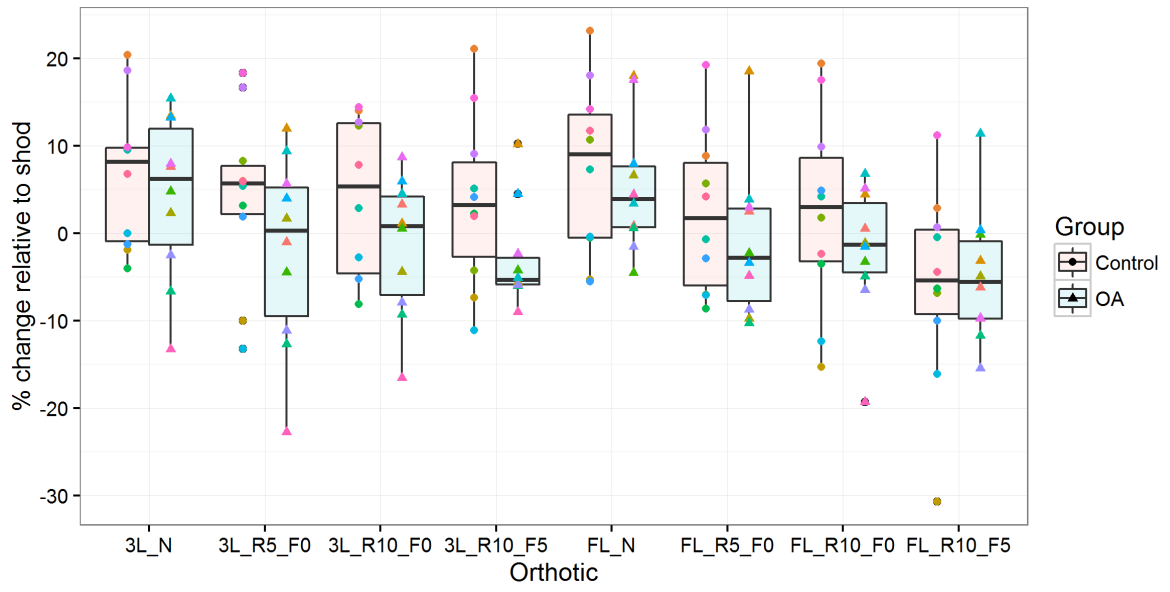
Figure 3



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Figure 4



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Figure 5