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Rise in testing and diagnosis associated with Scotland's Action Plan on Hepatitis C and introduction of dried blood spot testing

INTRODUCTION

An estimated 210,000 people in the UK (0.4% and 0.8% of the adult population in England and Scotland, respectively) are chronically infected with the hepatitis C virus (HCV); as many as half are undiagnosed.[1] As these individuals remain infectious, are at risk of developing liver disease and may not receive treatment this is a major issue for the control and management of the epidemic.

In Scotland, there have been several national initiatives with the aim of increasing HCV testing and diagnosis, as summarised in Appendix 1. During the early to mid 2000s, the Scottish Needs Assessment Programme report, the Royal College of Physicians consensus statement and SIGN guidelines established recommendations for testing: people who inject drugs (PWID), organ donors, HIV positive individuals, children of HCV positive mothers, sexual and household contacts of cases, and those who received dental or medical treatment in high prevalence countries with poor infection control.[2- 4] The SIGN guidelines suggested high priority for case finding should be given to former PWID, especially those over 40 years, who may have more advanced disease and benefit from treatment.

It was not until the launch of Scotland's Hepatitis C Action Plan, however, that additional resources were allocated to develop HCV services: £2 million during Phase I and £37 million during Phase II. One of the key aims of the Action Plan was to identify undiagnosed infections. During Phase I, NHS Boards considered ways of improving accessibility of testing services, and to identify and offer HCV testing to at risk groups.[5] Phase II included awareness raising activities – targeted at professionals, particularly general practitioners, and at risk individuals – to promote

HCV testing.[6] Minimally invasive dried blood spot (DBS) sampling was also introduced during Phase II in community drug services to increase access of testing. In Scotland, DBS antibody testing is undertaken using a modified ORTHO HCV version 3.0 ELISA kit with close to 100% sensitivity and 100% specificity. [7, 8] To monitor the performance of these actions Health Protection Scotland (HPS) established surveillance of HCV testing in Scotland. We utilise these data to examine i) trends in HCV testing in Scotland and ii) whether there has been an increase in people being tested for, and diagnosed with, HCV in Scotland following the launch of the government-funded Hepatitis C Action Plan.

METHODS

Data source

The West of Scotland Specialist Virology Centre (WoSSVC); East of Scotland Specialist Virology Centre (EoSSVC); Department of Medical Microbiology at Aberdeen Royal Infirmary and Ninewells Hospital & Medical School provided HPS with retrospective data on all anti-HCV tests undertaken between January 1999 and December 2011. Almost three-quarters of new HCV diagnoses in Scotland are reported from the NHS boards served by these laboratories.[9]

Data provided includes: forename, surname, sex, date of birth; either Community Health Index (CHI) number, hospital/clinic number, or genitourinary medicine (GUM) clinic number; requesting clinician information (address and health board); and test information (specimen date and anti-HCV result).

Preparation of data

As a standardised request form is not used for HCV testing, risk information is available only when volunteered at the time of the test request. Free-text, clinical details fields were searched for mention of PWID risk. Ethnicity (categorised as “South Asian” or “Other”) was determined using the name recognition software, Nam Pehchan.[10] A soundex code, generated using the surname, and the forename initial were retained; full names were excluded from the dataset for the subsequent analysis. Approval for data collection and analysis was given by the Clinical Governance Committee at Health Protection Scotland.

Tests of children aged less than two years old were excluded as these may indicate the presence of passively-acquired maternal antibodies rather than true infection. [11] Tests requested in occupational health, fertility clinics, renal units, and confirmatory tests were excluded. Positive results were laboratory confirmed and unconfirmed or equivocal results were also excluded.

Test results belonging to the same individual were matched deterministically using one of four criteria: (i) sex, date of birth, forename initial and surname soundex; (ii) CHI number; (iii) sex, date of birth, and hospital/clinic number and (iv) source of request and GUM number for tests requested in the GUM setting. Almost all (97%) test records had sufficient data to match on at least one of these criteria.

Derived fields were calculated: anti-HCV results were recoded “positive” or “negative”; age at the time of test was generated using date of birth and date of test and categorised into eight age groups. Setting was determined using the address of test referral, and categorised as GP, hospital, GUM clinic, drug service, prison, and other/not known.

Statistical analysis

Segmented regression analysis was used to evaluate the effect of Scotland's HCV Action Plan on the number of a) anti-HCV tests and b) anti-HCV positive tests.[12] The data were split into 6 monthly intervals and categorised as pre-Action Plan (January 1999 to December 2006) or Action Plan (January 2007 to December 2011). The Action Plan was launched in late 2006 so January 2007 is used as the change point. Pre-Action Plan trends are compared to Action Plan trends and the level change in anti-HCV testing, at the change, is estimated. Negative binomial models were fitted to account for overdispersion in the data.[12, 13]

The model takes the form:

$$\log(Y_t) = \beta_0 + \beta_1 * Time_t + \beta_2 * AP_t + \beta_3 * TimeAP_t + e_t$$

where Y_t is the mean number of tests per 6 monthly interval t ; $Time$ counts the number of intervals t since the beginning of observation ; AP is an indicator variable (0 before the change point and 1 after); $TimeAP$ counts the number of intervals t during the Action Plan segment; β_0 is the baseline number of tests at the start of observation ; β_1 describes the trend in testing pre- Action Plan; β_2 estimates the level change in the number of tests; β_3 estimates the change in trend in testing during the Action Plan compared with the trend pre- Action Plan; e_t is the model error term. The trend in testing during the Action Plan is calculated as $\beta_1 + \beta_3$. A second model comparing the trends before and after the introduction of DBS testing in 2009, for all tests and those from drug services was analysed. Results are presented in the form of rate ratios (RR) with 95% confidence intervals and associated p-values.

RESULTS

Overall trends in, and characteristics of, those tested

The annual number of people tested for anti-HCV increased from 15,515 in 1999 to 30,906 in 2011 (Figure 1), representing a total of 297,689 tests. Among those tested, 9% (27,966) tested anti-HCV positive, 55% (164,147) were male, 60% (177,665) aged 20-49 years at the time of their first test, 49% (145,140) and 32% (93,954) were first tested in the hospital and general practice settings, respectively (Table 1). Three percent (9332) were of South Asian ethnicity. Injecting drug use was reported with 9% (25,580) of all anti-HCV tests, and 34% of positive anti-HCV tests (indicating considerable under-reporting as more than 80% of positive tests would be expected to be attributed to injecting drug use).[14] Between the Pre-Action and Action Plan periods, the average annual number of tests increased (from 19,058 to 29,045), average annual number of positive tests increased (from 1993 to 2405) and average positivity decreased (from 10.5% to 8.3%). The average annual percentage change in the number of people tested was 5.1% during the Pre-Action Plan period and 6.1% during the Action Plan period while the respective average annual percentage change in the number of positives detected was -2.9% and 13.4%.

New HCV diagnoses decreased from 1,483 in 1999 to 1,055 in 2006. This trend reversed and increased annually to a peak of 1,967 in 2011. Following very few diagnoses in drug services prior to 2009, this setting accounted for 12.7% of diagnoses in 2009, 24.3% of diagnoses in 2010 and 20.6% in 2011.

Trends in testing, and testing positive, by setting

Figure 2 shows the annual number of people tested and the annual number tested positive. Testing in hospitals remained largely stable, and consequently the proportion testing in this setting decreased over time: from 66% (10,254) in 1999 to 41% (12,767) in 2011. In drug services, where DBS testing was introduced in 2009,

there was a six-fold increase in the annual number of people tested from 488 in 2008 to a peak of 1,706 in 2010 followed by a decline to 1,473 in 2011. In the prison setting, there was also an increase from 288 in 2008 to 571 in 2010 and this level was maintained in 2011.

In general practice testing increased steadily, more than trebling from 3,336 in 1999 to 11,453 in 2011, while the number of positive tests increased at a lower rate (rising 1.5-1.7 fold, from 422 in 1999 to a peak of 728 in 2011). In NHS Lothian, however, there was a four-fold increase from 66 positives in 1999 to 260 in 2011. The number of general practices testing increased marginally from 502 requesting tests in 1999 to 538 requesting tests in 2011, accounting for almost all of the practices in these areas. The median number of tests per practice increased from 5 in 1999 to 15 in 2011.

Table 1: Characteristics of the annual number of people being tested for anti-HCV, and the number who tested positive, during 1999-2011, stratified by pre-Action Plan (1999-2006) and Action Plan (2007-2011) periods

		1999-2011				Pre-Action Plan (1999-2006)				Action Plan (2007-2011)			
Characteristic		Total N %		Anti-HCV Positive n % (of N)		Average Annual Tests N %		Average Annual Positives n % (of N)		Average Annual Tests N %		Average Annual Positives n % (of N)	
All		297689	-	27966	9.4%	19058	-	1993	10.5%	29045	-	2405	8.3%
Gender	Female	130337	43.8%	9325	7.2%	8465	44.4%	678	8.0%	12524	43.1%	780	6.2%
	Male	164147	55.1%	18395	11.2%	10422	54.7%	1299	12.5%	16154	55.6%	1601	9.9%
	Not Reported	3205	1.1%	246	7.7%	17	0.1%	16	94.1%	366	1.3%	24	6.6%
Age at first test	<20	20613	6.9%	743	3.6%	1432	7.5%	70	4.9%	1831	6.3%	36	2.0%
	20-29	61932	20.8%	6828	11.0%	3954	20.7%	588	14.9%	6061	20.9%	424	7.0%
	30-39	65590	22.0%	11050	16.8%	4145	21.7%	798	19.3%	6484	22.3%	933	14.4%
	40-49	50143	16.8%	6265	12.5%	2987	15.7%	334	11.2%	5249	18.1%	719	13.7%
	50-59	38461	12.9%	1979	5.1%	2423	12.7%	108	4.5%	3815	13.1%	224	5.9%
	60+	58514	19.7%	689	1.2%	3845	20.2%	48	1.2%	5551	19.1%	61	1.1%
	NK	2436	0.8%	412	16.9%	271	1.4%	47	17.3%	53	0.2%	8	15.1%
Setting	Hospital	145140	48.8%	13118	9.0%	10536	55.3%	1043	9.9%	12170	41.9%	956	7.9%
	GP	93954	31.6%	7632	8.1%	5421	28.4%	523	9.6%	10117	34.8%	690	6.8%
	Drug Service	5399	1.8%	1959	36.3%	67	0.4%	13	19.4%	973	3.3%	371	38.1%
	Prison	4200	1.4%	1490	35.5%	257	1.3%	114	44.4%	429	1.5%	116	27.0%
	GUM Clinic	33677	11.3%	1398	4.2%	1699	8.9%	88	5.2%	4018	13.8%	139	3.5%
	Other/Not Known	15319	5.1%	2369	15.5%	1079	5.7%	212	19.6%	1338	4.6%	135	10.1%
NHS Board	Greater Glasgow & Clyde	119786	40.2%	16945	14.1%	8257	43.3%	1242	15.0%	10746	37.0%	1402	13.0%
	Lothian	85571	28.7%	4215	4.9%	4869	25.5%	231	4.7%	9323	32.1%	473	5.1%
	Grampian	55243	18.6%	4676	8.5%	3761	19.7%	379	10.1%	5031	17.3%	329	6.5%
	Tayside	37089	12.5%	2130	5.7%	2171	11.4%	140	6.4%	3944	13.6%	201	5.1%
Ethnicity	South Asian	9332	3.1%	1048	11.2%	423	2.2%	57	13.5%	1190	4.1%	119	10.0%
	Other	288357	96.9%	26918	9.3%	18636	97.8%	1936	10.4%	27854	95.9%	2286	8.2%
Risk	PWID Reported	25580	8.6%	9362	36.6%	1702	8.9%	599	35.2%	2392	8.2%	914	38.2%
	Not Reported	272109	91.4%	18604	6.8%	17356	91.1%	1393	8.0%	26652	91.8%	1492	5.6%
		Average Annual Percentage Change											
Trend in Testing*	Percentage change	6.4%		2.3%		5.1%		-2.9%		6.1%		13.4%	
	95% CI	6.3 to 6.5		2.0 to 2.6		4.8 to 5.3		-3.5 to -2.2		5.7 to 6.5		12.0 to 14.9	

* Trends calculated using Poisson Regression

Trends in testing associated with the Action Plan: Segmented regression

Results of the segmented negative binomial regression analysis are shown in Table 2, with associated graphs in appendices 2 and 3. In the Pre-Action Plan period, the overall trend in the number of tests was significantly increasing (RR=1.03 per 6-month period). This was reflected across GP, drug services and GUM clinics; in prisons there was a decreasing trend (RR=0.94) and no significant change in trend was observed in the hospital setting. There was a significant increase overall in level change in outcomes (i.e. the number of tests) at the start of the Action Plan period (RR=1.09) with only the GUM and prisons showing a significant level change in the number of tests (RR=1.42 and RR=1.32, respectively). Overall, there was no significant change in the trend in testing during the Action Plan period, compared to the pre-Action period. However, there was a significantly increasing trend in testing during the Action Plan, compared to pre-Action Plan period within prison (RR=1.19), drug services (RR=1.11), and hospital (RR=1.01); while there were significantly reduced trends within GP (RR=0.98) and GUM clinics (RR=0.87).

Trends in testing positive associated with the Action Plan: Segmented regression

In the Pre-Action Plan period, the trend in positive tests was significantly decreasing overall (RR=0.98) and across most settings, with the exception of an increasing trend in GP (RR=1.03) and drug services (RR=1.10); although the numbers in this latter setting were low. Only in the GUM setting was there a significant level change in the number of positive tests at the start of the Action Plan (RR=1.76). The change in trend between the two periods was significantly increased in all settings except general practice, and had increased the most in drug services (RR=1.43).

Table 2: Results of negative binomial segmented regression analysis to identify 1) effect of HCV action plan on testing outcomes by setting and 2) the introduction of dried blood spot testing on testing outcomes; between 1999 and 2011

	Initial Trend		Level Change in Outcomes		Change in trend		Post Trend
	RR (95% CI)	<i>p value</i>	RR (95% CI)	<i>p value</i>	RR (95% CI)	<i>p value</i>	RR (95% CI)
Model 1	Pre-Action 1996-2006		Start of Action Plan		Change in Trend*		Action Plan 2007-2011
a) Annual Number of People Tested							
All Settings	1.025 (1.020-1.030)	<0.001	1.092 (1.016-1.174)	0.016	1.003 (0.993-1.014)	0.558	1.028 (1.012-1.044)
General Practice	1.059 (1.053-1.065)	<0.001	1.023 (0.939-1.115)	0.596	0.979 (0.967-0.991)	0.001	1.037 (1.018-1.056)
Drug Services	1.146 (1.100-1.197)	<0.001	1.472 (0.817-2.654)	0.198	1.108 (1.016-1.209)	0.021	1.270 (1.115-1.446)
Prison	0.943 (0.927-0.961)	<0.001	1.320 (1.004-1.736)	0.047	1.191 (1.146-1.239)	<0.001	1.124 (1.062-1.190)
GUM Clinic	1.115 (1.101-1.130)	<0.001	1.420 (1.167-1.727)	<0.001	0.870 (0.845-0.895)	<0.001	0.970 (0.930-1.012)
Hospital	1.004 (0.999-1.010)	0.127	1.021 (0.937-1.112)	0.637	1.014 (1.001-1.027)	0.03	1.018 (1.000-1.037)
b) Annual number of people tested positive							
All Settings	0.981 (0.970-0.992)	0.001	0.888 (0.747-1.056)	0.18	1.102 (1.075-1.131)	<0.001	1.081 (1.042-1.122)
General Practice	1.033 (1.024-1.043)	<0.001	0.987 (0.861-1.132)	0.856	0.987 (0.967-1.007)	0.188	1.020 (0.990-1.050)
Drug Services	1.101 (1.025-1.182)	0.008	0.711 (0.273-1.849)	0.484	1.432 (1.246-1.646)	<0.001	1.577 (1.277-1.946)
Prison	0.920 (0.897-0.943)	<0.001	1.014 (0.681-1.511)	0.944	1.228 (1.161-1.299)	<0.001	1.130 (1.042-1.225)
GUM Clinic	0.973 (0.953-0.994)	0.011	1.761 (1.304-2.379)	<0.001	1.054 (1.011-1.100)	0.016	1.025 (0.962-1.093)
Hospital	0.971 (0.956-0.987)	<0.001	0.857 (0.668-1.101)	0.228	1.076 (1.037-1.116)	<0.001	1.045 (0.991-1.102)
Model 2	Pre-DBS 1999-2009		Introduction of DBS Testing		Change in Trend[†]		DBS Period 2009-2011
c) Annual Number of People Tested							
All Settings	1.030 (1.027-1.034)	<0.001	1.118 (1.009-1.223)	0.034	0.970 (0.942-0.999)	0.042	1.000 (0.968-1.033)
Drug Services	1.184 (1.154-1.215)	<0.001	3.445 (1.1748-6.787)	<0.001	0.840 (0.695-1.016)	0.072	0.995 (0.802-1.234)
d) Annual number of people tested positive							
All Settings	0.989 (0.981-0.996)	0.003	1.670 (1.322-2.111)	<0.001	1.014 (0.950-1.083)	0.671	1.003 (0.932-1.079)
Drug Services	1.139 (1.103-1.176)	<0.001	12.050 (6.350-22.904)	<0.001	0.862 (0.725-1.027)	0.096	0.982 (0.799-1.208)

*Change in trend in the Action Plan Period (2007-2011), compared to Pre-Action Plan period

[†]Change in trend in the DBS Period (July 2009 to December 2011), compared to Pre DBS period (January 1999 to June 2009)

Trends associated with the introduction of DBS testing: Segmented regression

The second model, examining the introduction of DBS testing, found an increasing pre-intervention trend in testing in drug services (RR=1.18) with a significant three-fold increase in testing during 2009 (RR=3.45). Prior to DBS testing, the trend in positive tests was similarly increasing in drug services (RR=1.14) but the level change in the number of positive tests during 2009 was greater, with a twelve-fold increase. Following the introduction of DBS testing, there were no significant trends although there were a limited number of data points following the change point in this model.

DISCUSSION

This is the first study to examine HCV testing practice in the context of a major government policy and investment. The Hepatitis C Action Plan for Scotland has been described as an example of best practice in the Global Commission on Drug Policy 2013 report “The Hidden Hepatitis C Epidemic”.^[15] A key aim was to identify undiagnosed infections and through increases in testing and diagnoses observed, the proportion undiagnosed reduced from 62% in 2006 to 45% in 2013. [1, 6] As a result, Scotland is now among a small number of countries where the majority of hepatitis C infected people have been diagnosed.^[16]

The greatest impact was through introduction of Dried Blood Spot (DBS) testing in community drug services. Given previously negligible levels of diagnosis in this setting, the significant increase in testing described can be attributed to DBS testing. This has driven the overall increases in new diagnoses observed since 2009, with drug services now referring approximately 20% of new diagnoses each year compared with <1% before the introduction of DBS testing.^[9] In a randomised controlled cluster study in specialist drug clinics and prisons in England and Wales during 2004, services utilising DBS testing undertook 14.5%

more HCV testing than those employing standard testing methods.[17] Following introduction of DBS testing at substance misuse services in Wales, a six-fold increase in testing was also observed. [18] DBS testing overcomes the need for a venous blood sample, a known barrier to testing among PWID due to damaged veins, and requirement of a clinician to take the sample. Therefore availability of testing to those at the greatest risk of infection, who may not see a GP but are attending harm reduction or other drug services is increased.[17-19]

DBS screening for HCV in drug services has previously been estimated to be cost-effective within standard UK willingness-to-pay thresholds while in prisons it is cost effective when antiviral treatment is completed in at least 40% of cases.[20]

McDonald *et al.* found variation between diagnosis setting and attendance at specialist liver clinics; with 60% of those diagnosed in general practice attending within twelve months compared with 40% among those diagnosed through drug services.[21] This may indicate a more established patient pathway, allowing patients to be referred to specialist care by their GP, or differences among individuals diagnosed in different settings.

Testing and case-finding for HCV in general practice, therefore, remains important and was a major focus of both the Action Plan and pre-Action Plan initiatives, as previously discussed. Based solely on analysis of the testing data we have found no evidence of an impact. Testing in primary care increased throughout the observation period but during the Action Plan did so at a lower level and new diagnoses remained largely stable, suggesting GPs are testing more patients who are at low risk of infection.[9]

A 2007 needs assessment found that 80% of GPs reported not routinely asking patients about risk factors for HCV.[6] More recently, a mixed methods analysis of HCV case finding in England identified similar barriers with GPs reporting confidence in their knowledge of HCV but remembering to offer a test was an issue. They suggested IT systems

be improved to prompt GPs to offer a test to at risk patients.[22] However, a study of HCV screening in general practice found several reasons why patients may decline a test when offered; including not recognising risk and poor venous access.[23] Only in NHS Lothian, where many drug services are delivered through general practice, was an increase in positive tests and diagnoses in recent years found.

Identifying undiagnosed HCV-infected individuals remains a key issue. Most screening strategies rely on a targeted approach based on the ascertainment of risk factors.[4, 23- 26] Recently the Centres for Disease Control (CDC), citing the limitations of this approach, have recommended birth cohort screening in the United States for those born during 1945-1965.[27] Here, we have demonstrated that offering HCV testing in services designed for those at the highest risk, i.e. drug services, can be valuable for case-finding. However, following a peak in testing in 2010, there was a decrease in 2011. . There are approximately 3,000 new clients attending drug services in four largest NHS boards per year who report a history of injecting.[28] This represents a maximum threshold of coverage in this setting, while only 49% of PWID interviewed at services providing injection equipment in 2011 reported being tested for HCV in the last year.[1] Expansion of DBS testing settings may increase coverage and settings where PWID can be identified, such as pharmacies dispensing methadone, could be considered.

It has been established that identification of former PWID can be made in general practice, particularly in practices of high social deprivation, and that the offer of HCV testing is generally accepted in this group.[23, 24] The availability of DBS testing in primary care, therefore, may overcome barriers such as poor venous access and this should also be considered.[22] A combination of DBS testing for PWID engaging with drug services and improved targeted case finding of former PWID by GPs may be preferable to adopting a birth cohort screening approach..

Segmented regression has been used to examine the impact of a wide variety of real world events such as policy changes, public health initiatives or environmental exposure.[29-31] The main strength of this methodology is that it has been possible to examine changes in testing activity prior to, at the time of and following the launch of the Action Plan and the introduction of DBS testing.

There are a number of limitations to a study of this nature. In particular, identification of duplicate tests for an individual using electronic matching and limited identifiers. Four matching criteria were employed to try to ensure duplicate tests were identified. Due to lack of risk information it has not been possible to describe, or analyse, testing among recommended groups.[2- 4] Aside from national initiatives described in Appendix 1, there have also been local initiatives, perhaps at NHS board or service level; increased press attention and additions to the scientific literature in this field. It is consequently difficult to evaluate a discrete intervention using testing data alone.

Despite these limitations, this study highlights the impact of a National Action Plan on testing and case-finding of HCV. While previous initiatives focused almost exclusively on testing by GPs, new approaches in the form of DBS testing by other community setting practitioners have resulted in an increase in testing and diagnoses at the national level that have not been elsewhere demonstrated. These findings can be used, and improved on, by other countries where HCV is a public health priority.

WHAT IS ALREADY KNOWN ON THIS SUBJECT?

- In most resource rich countries, the majority of chronic hepatitis C infections remain undiagnosed; this is a key challenge in the control and management of the epidemic
- Barriers to testing have been previously ascertained. Among patients these include poor awareness of the disease, fear of stigma or treatment and not engaging with health service. Among professionals barriers include lack of time for consultations, not routinely ascertaining risk activities and poor venous access.

WHAT THIS STUDY ADDS?

- Dried blood spot sampling in community drug services improves hepatitis C case finding in those at risk of infection by overcoming the established barriers to testing such as poor veins or limited contact with health services.
- No evidence of an impact of awareness raising activities in GPs was found although general practice accounts for a significant proportion of testing and diagnosis.

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FIGURE/TABLE LEGENDS

Figure 1: Annual number of people (a) tested for anti-HCV and (b) newly diagnosed with anti- HCV in Scotland's four largest health boards during 1999-2011, by setting.

Figure 2: Annual number of people tested for anti-HCV and number tested positive in Scotland's four largest health boards by setting, 1999-2011

Table 1: Characteristics of the annual number of people being tested for anti-HCV, and the number who tested positive, during 1999-2011, stratified by pre-Action Plan (1999-2006) and Action Plan (2007-2011) periods

Table 2: Results of negative binomial segmented regression analysis to identify 1) effect of HCV action plan on testing outcomes by setting and 2) the introduction of dried blood spot testing on testing outcomes; between 1999 and 2011