### Summary Checklist

<table>
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<tr>
<th></th>
<th>Research</th>
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<th>Contract Research</th>
<th>KTP's</th>
<th>EU &amp; Overseas Project</th>
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#### In-kind contribution

- **Time**: £
- **Other**: £

### Source of funding

- Commercial Income
- Industry contract funding (non-grant contract research)
- Consultancy
- Government grants
- Government departments and their agencies, including NHS
- Education Contracts
- EU funding agencies
- Local and Regional funding agencies (including Regional Growth Fund and UK Research Councils)
- Non Government grants
- Industry grants
- Charity grants (including British Academy, The Royal Society & The Royal Society of Edinburgh)

### Any additional comments:

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**Checklist sign by RIE representative:**

**Date:** 19/08/15

**Key:**
- **Y**: Paperwork required
- **N**: Paperwork not required
- **IA**: If applicable

**Credit checks are required for non-public funding bodies where value is in excess of £1,000 (net of VAT).**
1. **PRINCIPAL INVESTIGATOR**
   
   Name....Dr Janet Greenlees

   School........GSBS...... Dept SSMJ.............. Tel No. ....x8209........................................

2. **TITLE of PROPOSAL** .....MSc Health History Studentship, Transcultural psychiatry and perinatal care: Glasgow, 1940s-90s

3. **Anticipated START and END Dates** from ...Sept 2015............... to ......Aug 2016

4. **ARE THERE ANY IPR/CONFIDENTIALITY ISSUES** (N) If yes, please state (see guidance notes)

5. **DOES THIS PROPOSAL PRESENT A CONFLICT OF INTEREST** (N) If yes, please state here any potential conflict of interest which may arise or be perceived to arise in undertaking this project.

6. For research grants and contract research:

   **FUNDING BODY (IES) Name (s) .... Wel come Trust**

   Funding body type (please tick) Research Council ☐ Government ☐ Charity ☐ Industry ☐

   KTP ☐ European (Research) ☐ European (Non Research) ☐ Other ☐ (please state)

   HESA Code (s) ☐ (see page 4) (please indicate HESA code for EACH funding body if more than one funding source.

7. **PRINCIPAL INVESTIGATOR**

   SIGNED PRINCIPAL INVESTIGATOR Date ..28/4/15

8. **ASSOCIATE DEAN/DIRECTOR**

   SIGNED ASSOCIATE DEAN (confirming application is in line with School strategy and objectives)

9. **PROPOSAL APPROVED by RIE**

   SIGNED on BEHALF of RIE (confirming all aspects of financial and contractual compliance with University and funding body requirements)

10. **PROPOSAL APPROVED by EXECUTIVE DEAN of SCHOOL**

    SIGNED Excc. DEAN of SCHOOL (or nominated other) (confirming on behalf of School, all aspects of strategic financial and contractual compliance

11. **UNIVERSITY AUTHORISATION** (to submit a proposal externally)

    (nominated signatory for and on behalf of the University)

   UNIVERSITY CHARITABLE STATUS: All research activity is undertaken in order to enhance knowledge and understanding for educational purposes, and for wider public benefit (please refer to PAF guidance notes for further information).
RESEARCH /OTHER EDUCATIONAL GRANTS  

Note 1: In instances where GCU are not the lead applicant on a project, a PAF should still be completed at application stage, in order that GCU may be fully informed of their commitment of resources to the project.

Note 2: Contract research and consultancy must be approved via PART C of the project approval system. Please refer to PAF guidelines for clarification on definitions of contract research and consultancy activity.

1. INTERNAL COAPPLICANT(s)  
   Name: ........................................ Dept: ......................  
   Name: ........................................ Dept: ......................

2. EXTERNAL COLLABORATOR(s) Please give names of ALL external collaborators  
   Name: ........................................  
   Address: ........................................  
   Name: ........................................  
   Address: ........................................

3. PROPOSAL TYPE (Please tick all that apply)  
   Research Grant [ ]  EU Research [ ]  EU Non Research [ ]  Travel [ ]  Consumables [ ]  
   Studentship [x]  KTP [ ]  Education & Training [ ]  Collaboration Lead [ ]  
   Collaboration Non Lead [ ]  Community Engagement [ ]  Cultural Engagement [ ]  Other [ ]

4. PEER REVIEW (please tick if applicable)  
   Has your project undergone a process of peer review? Yes [x] No [ ]  
   If yes, was this process: internal [x] external [ ] to GCU  
   Contact details of peer reviewer: ....Prof Oonagh Walsh

5. fEC of GRANT PROPOSAL  
   ...c. £23,000

6. GCU MATCH FUNDING (including equipment and/or infrastructure requirements) ......N/A

7. TOTAL VALUE OF PROPOSAL ...c. £23,000  TOTAL AMOUNT TO GCU ......c. £23,000

Deadline submission date (if applicable) ......1 May 2015

8. ADDITIONAL CONTRIBUTION FROM 3rd PARTY (if any) ......N/A

9. ETHICS  
   Does this proposal require ethical approval? N (delete as appropriate)  
   Does the proposal involve clinical trials? N (delete as appropriate)  
   Does the proposal involve the use of human tissue? N (delete as appropriate)

Important: When you receive notification of your successful proposal, a copy of the ethical approval documentation should be forwarded to RIE PRIOR to the start date. Failure to supply this information may result in a delay to project commencement.
COMMERCIAL ACTIVITIES
CONSULTANCY, CONTRACT (COMMISSIONED) RESEARCH
& OTHER SERVICES

(please tick one) Consultancy ☐ Contract Research ☐ Other Services ☐

Tender document for bid ☐ ☐ – please supply this for review by RIE
Risk Assessment attached ☐ ☐

1. EXTERNAL CLIENT CONTACT DETAILS (address for correspondence)

Contact Name ........................................ Address .....................................................
Organisation ........................................ .....................................................
Email/telephone ........................................ .....................................................

2. COSTING

Please attach either a completed consultancy summary spreadsheet, or contract research summary spreadsheet. These can be found within the University fEC costing model spreadsheet at www.gcu.ac.uk/rie/riedocuments

Important: Proposals will only be approved if a University authorised costing is submitted. This costing model must be completed with and formally approved by RIE.

fEC of activity (contract research only) £
Total income for activity £
Total costs for activity £
Surplus £ / %
Overhead rate applied %

Please attach any other relevant project description documents. It is the responsibility of the PI to inform RIE when a project is successful in order that the contractual stage (see 3 below) can be completed. Please quote RIE ID No. in all correspondence.

3. CONTRACTUAL REQUIREMENTS TO SUPPORT ACTIVITY

Important: Please attach proposed draft agreement with client if any, or alternatively seek advice from RIE. The University will not allow projects to commence until a contract is agreed and signed by both the external partner and the University. RIE will complete an internal form for project acceptance.

Client supplied agreement or contract [ ] - please supply this for review by RIE

Please supply any project description documents and letter/email from the client commissioning the work.

RIE Advice needed re: (tick as required)
University standard terms and conditions for provision of consultancy and technical services [ ]
GCU contract or sub contract [ ]
GCU Confidentiality Agreement [ ]
Intellectual property rights disclosure/ licensing agreement [ ]
Expert witness contract [ ]
Other [ ] (please state) ........................................

Notes: A definition of contract research and consultancy can be found within the “University Requirements for the Conduct of Commercial Activities, which can be found at www.gcu.ac.uk/rie/riedocuments
For help in preparing costings please complete an RIE costing request form (which can also be found at www.gcu.ac.uk/rie/riedocuments ) and/or contact RIE.
COMPANY TYPE (please tick one box for each funding body, which confirms the company type)
(Definitions can be found within the guidance notes at www.gcu.ac.uk/rie/riedocuments)

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HESA – RESEARCH GRANTS and INCOME – FUNDING TYPE

1. BIS Research Councils, The Royal Society, British Academy and The Royal Society of Edinburgh
2. UK based charities (open competitive process)
3. UK based charities (other)
4. UK central government bodies
5. UK industry, commerce and public corporations
6. EU government bodies
7. EU - based charities (open competitive process)
8. EU industry, commerce and public corporation
9. EU other
10. Non - EU based charities (open competitive process)
11. Non - EU industry, commerce and public corporations
12. Non - EU other
13. Other sources

BREAKDOWN OF FUNDING TYPE 1 – BIS RESEARCH COUNCILS et al

1a. BBSRC
1b. MRC
1c. NERC
1d. EPSRC
1e. ESRC
1f. AHRC
1g. STFC
1h. Other
## MASTER'S/MSc COURSE STUDENT INFORMATION FORM

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</tr>
<tr>
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<td>2014 - 2015: ESOL tutor (voluntary)</td>
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<td>2011 – 2014: Waitress</td>
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<th>Skills gained relevant to this application</th>
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<td>My previous positions, both paid and voluntary, have helped me develop essential skills for academic study. They have required excellent time management and enhanced my communication skills with a range of people from various cultural backgrounds. Working in a variety of customer service positions, from call centres to retail and hospitality, has enhanced my confidence and team working skills and taught me to work to tight timescales and use my initiative to solve problems, often in a busy and challenging environment. As a voluntary ESOL tutor, I identified a gap in provision and established a women-only English class for asylum seekers and refugees. Under this initiative, I am now regularly delivering intensive English tuition to between twelve and twenty students.</td>
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These skills of communication, team work, initiative and hard work have been transferred to my BA Social Science degree, where I am projected to receive a 1st Class Honours degree. Focusing on history and sociology, my undergraduate degree has honed my analytical and interdisciplinary research skills, as well as my ability to work both independently and as part of a group. It was Dr Vicky Long's class in the history of psychiatry and my oral history dissertation that sparked my desire to pursue a Master's in Health History. I hope to utilize an oral history methodology for my Masters' dissertation and my undergraduate dissertation has provided valuable experience, which will be honed through collaboration with the Scottish Oral History Centre (SOCH) based at Strathclyde University and linked with the Centre for the Social History of Health and Healthcare (CSHHH) through Professor Arthur McIvor.

| 7 (a) Name of current tutor | Dr Nancy Lombard  
| Department and institution  | Reader in Sociology  
|                            | Social Sciences, Media & Journalism  
|                            | Glasgow Caledonian University |

| (b) Recommendation from current tutor | I am pleased to write in support of Emma Williamson's application for a Wellcome Trust grant to pursue Masters in Health History, where she will focus upon transcultural psychiatry in the mid-20th century. In particular, she wishes to combine an oral history and archival methodology to examine the women's experiences at Gartnavel and Lennox Castle Hospitals, two psychiatric hospitals in and near Glasgow. While an undergraduate, I not only taught Emma in classes, but I supervised her first-class undergraduate Honour's dissertation, which is currently under consideration for the dissertation prize. Emma's dissertation examined 'Power, Gender, and Labour: The division of domestic labour in modern Scotland'. Her background reading to inform her literature review was exemplary and well above that expected at undergraduate level. She devised a multi-method research approach and such skills would be transferable for her Masters project, especially the use of oral history interviews. During the course of her dissertation Emma worked independently but was keen to take suggestions onboard. She also always articulated her thinking both written and verbally. Her writing is at such a high standard that I am keen for Emma to develop and edit her dissertation for publication in a peer reviewed journal. Emma's degree was interdisciplinary in nature, concentrating on history and sociology. I am not alone in being impressed by Emma's passionate dedication to her studies, her analytical mind and her determination to do well. Although final marks are pending, it is anticipated that Emma will graduate with a first class degree. Emma is a mature student who understands the hard work and commitment required of a Masters degree. Indeed, myself and colleagues have all been very supportive of Emma's wish to pursue her academic career especially in her areas of great |
aptitude; history and sociology. As a student, Emma has a pleasant personality. She was always respectful and courteous and worked well with others in a group capacity. This will serve her well for her Master's projects. Emma is not only dedicated to her studies but is also an unpaid volunteer with asylum seekers communities where she teaches English. Here, she took the initiative and developed provision for women only, which has been well-received.

On the basis of a robust profile of academic skills and a commitment to always deliver her best, I am confident that Emma is a strong candidate for the Wellcome Trust MSc bursary. Emma is someone who should be given every opportunity to fulfill her career aspirations of pursuing a PhD and her academic curiosity. I cannot recommend her highly enough for your programme and funding.

<table>
<thead>
<tr>
<th>8</th>
<th>Motivation for choosing this subject and this institution (no more than 250 words)</th>
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<td>Dr. Vicky Long's Honour's module in the history of psychiatry was the most challenging, rewarding and fascinating class that I had the pleasure of studying during my time at Glasgow Caledonian University and I wish to pursue it further at Master's level. The history skills developed at undergraduate level aided my undergraduate dissertation which analysed labour, power and gender dynamics in the division of domestic labour in modern Scotland. Combined, the mixed history and sociology methodology, interview and research skills, all integral components of my BAHons, Social Science degree (History/Sociology) will prove beneficial for Masters study.</td>
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My Master's dissertation will adopt a mixed archive and oral history methodology. The latter is designed to capture the experiences of female mental health service workers and users in the Glasgow conurbation during the latter half of the twentieth century. Access to training at the Scottish Oral History Centre and the chance to work under the direction of Prof. Arthur McIvor would provide me with the skills to achieve this goal. Moreover, the CSHHH is the ideal place to pursue my interdisciplinary interest in the history of psychiatry and gender and medicine. In particular, I will have the benefit of working with Prof. Oonagh Walsh, an expert on the history of psychiatry and gender; Dr. Vicky Long, an expert on twentieth century British psychiatry; Dr. Matt Smith, whose expertise lies in social psychiatry; Prof. Jim Mills, an international expert on drugs and drug policy; and Dr Janet Greenlees, who holds expertise in maternal healthcare.

<table>
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<th>Provisional dissertation topic (no more than 500 words)</th>
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<td>Transcultural psychiatry and perinatal care: Glasgow, 1940s-90s</td>
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As Britain debates both immigration policy and the health and welfare rights of immigrants (eg. Home Office Consultation Document, 2013), it is vital that we understand how past immigrants have engaged with British society and welfare. While there is an emerging literature about race, socio-economic deprivation and culture (eg. Welshman, 2000, in relation to tuberculosis) and migrant exclusion from local health policies...
(eg. Eade, in Marks and Worboys, eds, 1997), less is known about migrant women’s experience, particularly perinatal care within the broader racial and socio-economic context. While migration to Scotland is lower than to England, so too is there less opposition to migration (Migration Observatory, 2014). Yet the actual migrant experience of public services has received scant attention. Hence, this dissertation holds considerable contemporary relevance as it examines how migrant women’s experiences of perinatal care compared with that of native Scots, feeding debates about healthcare consumers (eg Mold, 2012; 2013) and migrant health-policy (eg 2013; 2015).

To understand cultural differences in healthcare between ‘native’ Scottish women and migrant women, this dissertation combines archival and oral history methodology to examine patient and staff experiences in Gartnavel Royal Hospital, Glasgow, and Lennox Castle Hospital, Lennoxtown (near Glasgow) during the latter half of the twentieth century. In the 1940s ‘temporary’ maternity wards were constructed on both sites which remained in situ until the 1960s. These wards provide the foundation for examining the early beliefs about the importance of cultural factors in the manifestation and diagnosis of mental health issues relating to pregnancy and childbirth. Hospital records are located in the NHS Greater Glasgow and Clyde Archives and an application is pending for access to certain patient records, guaranteeing anonymity. These records will complement published hospital materials, government papers, medical journals and MOH reports. Ethical permission for oral history collection is being sought from GCU. Former staff members and female patients of Lennox Castle have been identified and are agreeable to interviews. They also have contacts who worked at Gartnaval Hospital.

These case studies will be placed within the tri-fold wider context: the development of transcultural psychiatry; immigration legislation from the 1948 British Nationality Act through to the 1971 New Immigration Act; and, the intersection of insanity and ethnicity (Bashford in Marland, 2013). Situated between, and partly shaped by, the decline of physical therapies and the rise of drug therapies and the anti-psychiatry movement, transcultural psychiatry was a contentious area. However, its specific inclusion of culture in the treatment of mental ill-health makes it an essential element in this project. Globalisation in the treatment of mental health resulted in a growing recognition of the importance of cross-cultural comparisons in the classification and treatment of mental illness. But did these also apply to perinatal care? And how do they fit with debates by Andrews, Scull and Stewart about the distinct nature of the development of Scottish health services and the influence of Scottish psychiatrists on the emerging area of cultural competence.

| 10 | Outline briefly the career you hope to pursue | After completing my masters I aim to pursue a PhD in Health History and eventually enter into a career in academia, where I can combine my passion for research and teaching. Thus, the MSc Health History provides excellent preparation for my career aspirations. My interest in the history of psychiatry, ethnicity and gender would provide me with further scope to contribute to |
current debates in mental health, gender and health and migrant health policy.

Provisionally, my PhD plans to broaden my research to examine the relationship between ethnicity, gender, age and healthcare provision using British psycho-geriatric wards during the 1960s – 1980s as a case study, and to analyse societal responses to aging - an issue that holds much relevance for present day society. By placing older women’s health in Scotland within its broader national and international context, I hope to be equipped with the specialist skills and ability required to contribute to current debates and inform Scottish policy.

<table>
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<th>11 (a)</th>
<th>Name of prospective sponsor</th>
<th>Dr Janet Greenlees</th>
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<td></td>
<td>Department and Institution</td>
<td>Social Sciences, Media &amp; Journalism</td>
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<td>Glasgow Caledonian University</td>
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| (b) | Recommendation from prospective sponsor | I am more than happy to recommend Miss Emma Williamson, an outstanding GCU undergraduate, for Wellcome Trust MSc funding. Miss Williamson has already shown, in particular through her undergraduate dissertation, the capacity for critical, independent thought and a scholarly engagement with mixed methodological research, including oral histories. This piece of work has earned a first class mark and is a top contender for the Department prize for best dissertation. |
|     | Including a brief description of the course and what is unique about the programme, as well as synergies between sponsor and applicant’s research | Miss Williamson has longer-term plans to undertake doctoral research on migration, gender, aging and access to healthcare in Britain, comparing Scotland with England in the latter half of the twentieth century. Such issues are often in the news but in fact have received little in-depth historical investigation. Consequently, the MSc Health History will further equip her for this doctoral research. In addition to taught courses dealing with a range of relevant issues (for instance, the politics of health in 20th Century Britain; pharmaceuticals, ethics and health; and food and health in the twentieth century), students are required to take two core courses which between them engage with both methodological and practical issues relating to historical research; and a 15,000 word dissertation on a topic agreed between student and supervisor. MSc students are also expected to attend the Centre seminar and lecture series, thereby further exposing them to up-to-date research in medical history. Finally, in choosing to study on the Masters in Health History at the CSHHH Glasgow, Miss Williamson has opted for a degree that is perfectly suited for doctoral preparation. This path is well-trodden by former students and those graduating with the Masters are eligible for Wellcome Trust, AHRC and ESRC studentships for PhD research. In addition to benefitting from the expertise of her lead supervisor Dr Vicky Long (currently on maternity leave, returning Jan. 2016), Walsh and Smith, all experts in the history of psychiatry, she will also benefit from Mills and Barton’s interest in drug history and my interest in gender and health, particularly maternal health. Taking all these points together, the Centre and its research environment, the MSc in Health History provides a unique situation for undertaking the kind of study and research with which Miss Williamson seeks to engage. |
12 Where did you first hear about this funding scheme?

- Colleague
- Wellcome Trust website
- Electronic advert (email or online)
- Print advert
- Wellcome Trust electronic newsletter (e.g. Grantees Newsletter)
- Social media
- Wellcome Trust flyer
- Wellcome Trust print publication (e.g. Wellcome News)
- Wellcome Trust staff (in person)
- Presentation by Wellcome Trust staff
- Exhibition/conference/event
- Request for follow-on funding
- Other website (please specify)
- Other (please specify)
EQUAL OPPORTUNITIES
MONITORING FORM
CONFIDENTIAL

The Equality and Human Rights Commission recommends collecting data to monitor the fairness of selection decisions. There is no obligation to provide this information but the Wellcome Trust would be grateful if the Principal Applicant would complete this form to assist with this process. The information provided will be regarded as strictly confidential and will be held on a secure database; it will not be shown to anybody involved in making a decision on the application. The Wellcome Trust will anonymise these data (i.e. remove the applicant’s name) when using them for statistical and research purposes.

Name: Emma Williamson
Grant reference no.

1. Sex: □ Male   X  Female
2. Date of birth: 21/11/1982
3. Ethnic origin:
   White
   □ British
   □ Irish
   □ Any other white background Please specify:
   Black or black British
   □ Caribbean
   □ African
   □ Any other black background Please specify:
   Asian or Asian British
   □ Indian
   □ Pakistani
   □ Bangladeshi
   □ Any other Asian background Please specify:
   Mixed
   □ White and black Caribbean
   □ White and black African
   □ White and Asian
   □ Any other mixed background Please specify:
   Chinese
   □ Any other ethnic group Please specify:
4. Disability: The Disability Discrimination Act 1995 states a person has a disability for the purposes of the Act if he/she: "Has a physical or mental impairment which has a substantial and long-term adverse effect on his/her ability to carry out normal day to day activities".

Do you consider yourself to be disabled within the definition of this Act?  

[ ] YES  [ ] NO  [X]
GRANT START CERTIFICATE

This form must be signed by a senior member from the central administration of the host organisation.

Please see the conditions relating to the Acceptance of Grants before signing this form.

Wellcome Trust reference: 109018/Z/15/Z
Your reference for the grant: 14-235 Greenlees

Grantholder: Dr Janet Greenlees
Start date: 01/09/15
Currency of award: £20,220
Amount of award: GBP
Starting salary for fellow: n/a

I am writing to confirm my Organisation's acceptance of the above grant on the conditions set out in the letter of award and attached regulations.

Signed: [Signature] Date: 19/8/15
Name (in full): PROF MIKE MANNION
Position: VIC-PRINCIPAL & PRO VICE-CHANCELLOR (RESEARCH)
Organisation: Glasgow Caledonian University
Contact email address: treasury@gcu.ac.uk / mca@gcu.ac.uk

In accepting this Award of Grant, the Organisation recognises that the UNDERTAKINGS given by the Organisation and others at the time of signing the Application Form are "conditions precedent" and the Organisation will ensure that they, their agents, servants, employees and students will continue to abide by the undertakings given throughout the lifetime of the grant.

Please return this signed form to the Grant Payments Team, Finance Department, by email, post or fax (see contact details below).

Email: grantpayments@wellcome.ac.uk
Postal address: 215 Euston Road, London NW1 2BE, UK
T +44 (0)20 7611 8888  F +44 (0)20 7611 8283  www.wellcome.ac.uk
Dear Dr Greenlees

I am writing to let you know that the Trust has agreed to award Glasgow Caledonian University a grant of up to £20,220 to provide a Medical Humanities (Master’s) Studentship for Ms Emma Williamson over 12 months under your sponsorship.

The funding is to provide support specifically for Ms Emma Williamson and in no circumstances may any part of the grant be used to support a replacement for her.

The grant has been given a notional start date of 01/09/2015 and is intended to provide support as follows:

**RING FENCED FUNDS**

<table>
<thead>
<tr>
<th>Student Stipend</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ms Emma Williamson</td>
<td>£14,940</td>
</tr>
<tr>
<td>Fees</td>
<td>£5,260</td>
</tr>
<tr>
<td>Grand Total</td>
<td>£20,220</td>
</tr>
</tbody>
</table>

Ring-fenced funds may only be used for the purpose stated above.

The grant is cash-limited; supplementary funding will only be provided in specific circumstances (see ‘Information to Note’).

Your attention is drawn to the fact that this award is to enable the student to study for the Master’s in Medical Humanities and they should therefore be classified as a scholar. This award does not include provision for the employer’s share of superannuation and National Insurance contributions.

The Trust’s Grant Conditions (COND/10/14), referred to in the attached ‘Information to Note’, detail the conditions under which the grant is awarded.

We would remind you that with regard to clause 6 (iii) of the Grant Conditions, all original research which is published as an article in a peer-reviewed journal, or as a scholarly monograph or book chapter, and is supported in whole or in part by the Grant, must be...
made available from Europe PubMed Central as soon as possible and in any event within six months of publication (www.wellcome.ac.uk/About-us/Policy/Spotlight-issues/Open-access/index.htm).

It is a condition that the Head of your Host Organisation will administer the grant in accordance with the purposes for which it has been awarded. I should be grateful if your Organisation would confirm, in writing, the acceptance of this grant on the conditions detailed in this letter and the notes. A Grant Start Certificate for this purpose can be downloaded from the Trust’s website (see ’Information to Note’). The grant cannot be activated until this confirmation has been received. The Trust will not accept liability for any expenses incurred on the grant until a signed Grant Start Certificate has been returned.

When accepting this Award of Grant, the Organisation recognises that the UNDERTAKINGS given by the Organisation and others at the time of signing the Application Form are "conditions precedent" and the Organisation will ensure that they, their agents, servants, employees and students will continue to abide by the undertakings given throughout the lifetime of the grant.

Copies of this letter and the notes should be forwarded to the Head of your Host Organisation, your Research Grants Office and your Finance Officer. For payment of grant funds see Information to Note.

If you would like to discuss any administrative issues regarding the grant, please contact Sophie Hutchison at this office.

Yours sincerely

[Signature]

Dan O'Connor PhD
Head of Humanities & Social Science
Culture & Society Division
GRANT CONDITIONS

Key terms used in these Grant Conditions can be found in the Definitions section at the end of this document.

1. How these Grant Conditions apply to you

1.1. These Grant Conditions, together with the Award Letter and our Policy and Position Statements, set out the Terms and Conditions on which we make the Grant to you, as the employer of the Grantholder.

1.2. You must ensure that the Grant Activities are carried out by you, the Grantholder, and any Participants or Organisations, in accordance with the Terms and Conditions.

2. Legal compliance, research practice, and governance

2.1. You must ensure that the Grant Activities are carried out in accordance with all relevant legal, health and safety, ethical and regulatory requirements (including any clinical trials registration), and that all necessary licences and approvals have been obtained and are in place at all times during the Grant Period.

2.2. You must ensure that any element of the Grant Activities that involves the use of animals and that is conducted outside the United Kingdom is, as a minimum standard, carried out in accordance with the principles of UK legislation (for further information, consult the UK Government Home Office website, in particular the Animals (Scientific Procedures) Act 1986).

3. Employment

We do not employ the Grantholder or any of the Participants. It is your responsibility to issue any necessary contracts of employment in relation to the Grant, and to comply with any relevant employment law and regulation.

4. Management of the Grant and reporting

4.1. Unless we tell you otherwise, you and the Grantholder must activate the Grant by completing a grant start certificate (which we will provide). We will not make payments on the Grant until we receive a completed grant start certificate.

4.2. The Grant Activities must be started as soon as possible and in any event within 12 months of the proposed start date given in the Award Letter.
4.3. You must ensure that:
   a) the Grant is used only for the Grant Activities; and
   b) the Grant Activities are supported by adequate and appropriate resources and facilities.

4.4. You must tell us at once if there is a significant change to the scope of the Grant Activities (including, where relevant, any increase in the number of animals used), or if there are any factors that may adversely affect the Grant Activities or compliance with the Terms and Conditions (including suspicion of or actual fraud, corruption or financial impropriety).

4.5. You and the Grant holder are responsible for ensuring that we are sent:
   a) progress reports as we require during the Grant Period; and
   b) an End of Grant Report within three months from the end of the Grant Period.

4.6. You must send us financial reports as set out in the Award Letter or as we request, and the End of Grant Spend Report within three months from the end of the Grant Period.

5. Audit and financial administration

5.1. You must ensure that Grant expenditure is controlled in accordance with your normal standards, procedures, and formal audit and control arrangements, including those for monitoring and preventing fraud, bribery or any other corrupt practices.

5.2. You must account for all income and expenditure related to the Grant through a separate cost centre.

5.3. You must hold a bank account in the currency specified in the Award Letter with a bank that is acceptable to us, and tell us of any changes to these details during the Grant Period.

5.4. You must allow us, at our expense and on reasonable notice, to audit your accounts, records, systems and facilities in relation to the Grant.

5.5. You must give reasonable assistance to us in complying with our legal requirements relating to accounts, audit or examination of accounts, annual reports and annual returns.

5.6. You must ensure that you are able to audit the Grant Activities of any Participant or Organisation in such a way that you are able to comply with your obligations to us.

5.7. We will hold back 10% of the transferable funds budget of the Grant until:
   a) we have received the End of Grant Spend Report and the End of Grant Report; and
   b) we are satisfied that any research outputs supported in whole or in part by the Grant comply with our Open Access policy.

Until we release the final 10% Grant payment, you must keep all invoices, receipts, accounts and other relevant documents relating to the Grant, and provide these to us if we ask you for them.

5.8. You must repay to us:
   a) any part of the Grant that has not been spent when the Grant Activities have been completed; and
   b) any part of the Grant used in breach of the Terms and Conditions.
5.9. You will be responsible for any expenditure on the Grant Activities which exceeds the amount of the Grant.

6. **Equipment**

6.1. You must ensure that any equipment funded by the Grant is purchased in accordance with your procurement procedures in a manner that delivers value for money, is used for the Grant Activities, and is adequately maintained and insured for all appropriate risks.

6.2. You must ask for our written permission to use the equipment for any other purpose, including if you wish to charge, hire, lend or dispose of it.

7. **Publication and publicity**

7.1. The outcomes of the Grant must be published or otherwise disseminated in an appropriate form, although publication or release of findings funded by the Grant may be delayed for a reasonable period to allow for protection of intellectual property (please also refer to condition 8, 'Intellectual property and its exploitation').

7.2. All original research supported in whole or in part by the Grant and accepted for publication in a peer-reviewed journal, or as a scholarly monograph or book chapter, must be made available from Europe PubMed Central as soon as possible and no later than six months from the date of final publication. Please refer to our Open Access policy for more details.

7.3. All publications must acknowledge our contribution in accordance with our Guidance for Research Publication Acknowledgement Practice.

7.4. Our Media Office must be consulted before release of any press statement about the Grant.

7.5. You must also contact our Media Office immediately if you become aware of anything related to the Grant that may have an adverse reputational impact on you, us, the Grant holder, a Participant, or an Organisation.

8. **Intellectual property (IP) and its exploitation**

8.1. You must have procedures for the identification, protection, management and exploitation of Trust-funded IP, taking into account circumstances where the Grant Activities involve collaboration with, or the contribution(s) of, third parties. You must also ensure that anyone engaged by you in the Grant Activities (including employees, students, visiting fellows and subcontractors) is employed, engaged or retained on terms that vest all Trust-funded IP in you.

8.2. Where Trust-funded IP arises from the Grant Activities, you must obtain our prior written consent (not to be unreasonably withheld in circumstances where it is an appropriate means of achieving charitable public benefit) before you make any commercial use of, or grant to any third party any exploitation rights over, the Trust-funded IP. As a condition of granting consent, we will require you to accept our standard revenue- and equity-sharing terms that are in place at that time.
8.3. If you do not protect, manage or exploit any Trust-funded IP arising out of the Grant to our reasonable satisfaction, then we shall have the right by giving you six months written notice to protect, manage and exploit the Trust-funded IP ourselves. We may exercise this right sooner where we reasonably consider that the opportunity to protect, manage or exploit the Trust-funded IP for the public benefit could be lost if more immediate action is not taken. You agree to do, and will ensure that the Grantholder and any Participants or Organisations do, all acts required to assist us in protection, management and exploitation.

8.4. You must obtain our prior written approval before using any third party to carry out your obligations under this condition 8.

9. Liability

We do not accept any responsibility for financial or other liability incurred by you, any Organisation, or any Participant that may arise out of the Grant Activities.

10. Variation and termination

10.1. We reserve the right to amend these Grant Conditions, our Policy and Position Statements, and the terms of the Award Letter at any time. We will publish on our website any changes to these Grant Conditions or our Policy and Position Statements. Once published, any changes will apply to the Grant.

10.2. Where there is any conflict between these Grant Conditions and the Award Letter, the provisions of the Award Letter will take precedence.

10.3. We reserve the right to terminate the Grant at any time. If we do this, we will set out our reasons to you in writing in a reasonable timeframe.

11. Governing law and jurisdiction

These Grant Conditions shall be governed by and interpreted in accordance with the law of England and Wales and any disputes in connection with these Grant Conditions shall be governed exclusively by the courts of England and Wales.

12. Definitions

<table>
<thead>
<tr>
<th>Award Letter</th>
<th>the letter from us confirming the award of the Grant and giving details of the Grant Activities</th>
</tr>
</thead>
<tbody>
<tr>
<td>End of Grant Report</td>
<td>a form completed by the Grantholder on which you report on the Grant Activities</td>
</tr>
<tr>
<td>End of Grant Spend Report</td>
<td>a form completed by you that sets out:</td>
</tr>
<tr>
<td></td>
<td>1) a comparison of i) your actual expenditure on the Grant during the Grant Period and ii) the total amount awarded by us in respect of the Grant; and</td>
</tr>
<tr>
<td></td>
<td>2) any further information that we request from you</td>
</tr>
<tr>
<td>Europe PubMed Central</td>
<td>a central repository for the open access outputs of our funded research (<a href="http://europepmc.org">http://europepmc.org</a>)</td>
</tr>
<tr>
<td>Grant</td>
<td>the grant described in the Award Letter</td>
</tr>
<tr>
<td>Grant Activities</td>
<td>the activities funded by the Grant as described in the Award Letter</td>
</tr>
<tr>
<td>------------------</td>
<td>------------------------------------------------------------------</td>
</tr>
<tr>
<td>Grant Conditions</td>
<td>the conditions set out in this document</td>
</tr>
<tr>
<td>Grant Period</td>
<td>the period of the Grant set out in the Award Letter, beginning on the start date as confirmed to us by you</td>
</tr>
<tr>
<td>Grantholder</td>
<td>the ‘Lead Applicant’ as identified in the Grant application and as specified in the Award Letter</td>
</tr>
<tr>
<td>Organisation</td>
<td>any university, institution, research council or other organisation (other than you) at which the Grant Activities are carried out and/or to which Grant monies are received</td>
</tr>
<tr>
<td>Participant</td>
<td>any person or third party working on the Grant Activities, including (as applicable) any applicant, collaborator, sponsor or research sponsor, supervisor, consultants, sub-awardees or contractors</td>
</tr>
<tr>
<td>Terms and Conditions</td>
<td>the terms and conditions on which the Grant is made, comprising these Grant Conditions, the Award Letter and our Policy and Position Statements as set out on our website from time to time</td>
</tr>
<tr>
<td>Trust-funded IP</td>
<td>intellectual property that is, or has been, created, exemplified or developed (whether in whole or in part) from the Grant Activities. Unless specified otherwise in the Award Letter, ‘Trust-funded IP’ does not include the copyright in artistic works, books, articles, scientific papers, lectures or audio or visual aids to the giving of lectures or teaching</td>
</tr>
<tr>
<td>us, we and our</td>
<td>the Wellcome Trust (a charity registered in England with number 210183), acting through its trustee, The Wellcome Trust Limited (a company registered in England with number 2711000)</td>
</tr>
<tr>
<td>you/your</td>
<td>the university, institution, research council or other organisation that has principal responsibility for administering the Grant, as identified in the Grant application and as specified in the Award Letter</td>
</tr>
</tbody>
</table>
INFORMATION TO NOTE

Please note that specific instructions are in **bold**. You need only refer to those documents with a crossed box:

| Details of the financial nature of your grant and any additional terms and conditions of its award, in PDF format. **[ ]** |
| Award Letter |
| *As the grantholder, it is your responsibility to ensure that a copy of the attached award letter is sent to:* |
| • The Head of your Host Organisation; |
| • Your Head of Procurement (if appropriate); |
| • Your Research Grants Office; |
| • Your Finance Officer; |
| • Any Coapplicants; and |
| • Sponsor/supervisor/candidate, where appropriate. |

| The terms and conditions of the award can be found on our website. **[ ]** |
| Grant Conditions |

| Please refer to details in the award letter and our website. **[X]** |
| Payment of Grant Funds |

| To be signed by your Head (or authorised signatory) confirming acceptance of the grant on the conditions detailed and returned to the Grant Payments Team at the Wellcome Trust. The certificate can be downloaded from our website. **[ ]** |
| Grant Start Certificate |

| To be completed and signed off by the Chief Financial Officer or equivalent at your organisation where the organisation has not previously submitted a form within the last year. The form can be downloaded from our website and if you need further information please contact grantpayments. **[X]** |
| Delegated Signatories |

| Details of the circumstances under which supplementary funding is considered can be found on our website. **[ ]** |
| Supplementary Funding |

| Information about engagement with your research and how the Wellcome Trust can help is available on our website. **[X]** |
| Public Engagement |

| All research papers funded by the Wellcome Trust must comply with our open access policy. Please see ‘An essential guide to open access for Wellcome Trust-funded authors’ for further information. **[X]** |
| Open Access |

| The Wellcome Trust encourages you to contribute images from your research to Wellcome Images. Contributors retain copyright and are fully acknowledged when their images are published or broadcast. For more information, please contact images@wellcome.ac.uk. **[X]** |
| Wellcome Images |

| Four different logos are available to download; please use the version relevant to your current funding status. **[X]** |
| Wellcome Trust logos |